

Reynolds Richard VanFleet  
 Form 4  
 July 02, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Reynolds Richard VanFleet

2. Issuer Name and Ticker or Trading Symbol  
 APOGEE ENTERPRISES, INC.  
 [APOG]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)  
 1629 APPLEWOOD DRIVE  
 (Street)

3. Date of Earliest Transaction (Month/Day/Year)  
 06/28/2018

Director  10% Owner  
 Officer (give title below)  Other (specify below)

BEAVERCREEK, OH 45434

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  |                                | (A) or (D)  | Price   |  |                                   |
|                                 |                                      |  |                                | Code  | V   | Amount   |                                   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security | 2. Conversion or Exercise | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any | 4. Transaction Code | 5. Number of Derivative Securities | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Underlying Securities |
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|------------------------------------|--|---|-----------------------------------|
|---------------------------------|---------------------------|--------------------------------------|-----------------------------------|---------------------|------------------------------------|--|---|-----------------------------------|

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| (Instr. 3)                        | Price of Derivative Security | (Month/Day/Year) | (Instr. 8) | Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | (Instr. 8) | Code             | V | (A)   | (D) | Date Exercisable | Expiration Date | Title        | Amount or Number of Shares | (Instr. 8) |
|-----------------------------------|------------------------------|------------------|------------|--|------------|------------------|---|-------|-----|------------------|-----------------|--------------|----------------------------|------------|
| Restricted Stock Units            | <u>(1)</u>                   | 06/28/2018       |            |  |            | A                |   | 1,952 |     | <u>(2)</u>       | <u>(2)</u>      | Common Stock | 1,952                      | \$         |
| Phantom Stock Units <u>(3)</u>    | \$ 0 <u>(4)</u>              | 06/30/2018       |            |  |            | A <sup>(5)</sup> |   | 81    |     | <u>(3)</u>       | <u>(3)</u>      | Common Stock | 81                         | \$ 4       |
| Restricted Stock Units <u>(6)</u> | \$ 0 <u>(4)</u>              | 06/30/2018       |            |  |            | A <sup>(7)</sup> |   | 16    |     | <u>(6)</u>       | <u>(6)</u>      | Common Stock | 16                         | \$ 4       |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| Reynolds Richard VanFleet<br>1629 APPLEWOOD DRIVE<br>BEAVERCREEK, OH 45434 | X             |           |         |       |

## Signatures

/s/ Patricia A. Beithon, Attorney-in-Fact for Richard V. Reynolds

07/02/2018

  Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) Each Restricted Stock Unit represents a contingent right to receive one share of Apogee Enterprises, Inc. common stock.

The Restricted Stock Units vest over a three year vesting period with one-third of the units vesting on each anniversary of the award.

(2) Vested shares will be delivered to the reporting person following the date the reporting person no longer serves as a director of Apogee Enterprises, Inc. in accordance with the election of the reporting person, or following the occurrence of other events specified in the Plan.

(3) The phantom stock units were allocated under the Deferred Compensation Plan for Non-Employee Directors. The units of phantom stock will be settled in shares of common stock following the director's termination from the Board in accordance with the election of the reporting person, or following the occurrence of other events specified in the Plan.

(4) Settled 1-for-1.

(5) Units acquired pursuant to a dividend equivalent reinvestment feature of the Deferred Compensation Plan for Non-Employee Directors.

(6)

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The restricted stock units were allocated under the 2009 Non-Employee Director Stock Incentive Plan. The units of restricted stock will be settled in shares of common stock following the director's termination from the Board in accordance with the election of the reporting person, or following the occurrence of other events specified in the Plan.

(7) Units acquired pursuant to a dividend equivalent reinvestment feature of the 2009 Non-Employee Director Stock Incentive Plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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