Lequient Frederic Form 4 June 05, 2018

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

30(h) of the Investment Company Act of 1940

**OMB** Number:

3235-0287

Expires:

January 31, 2005

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Estimated average burden hours per

**OMB APPROVAL** 

response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

1(b).

(Print or Type Responses)

See Instruction

1. Name and Address of Reporting Person \* Lequient Frederic

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

VEEVA SYSTEMS INC [VEEV]

(Check all applicable)

(Last)

(First) (Middle) 3. Date of Earliest Transaction

Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify

C/O VEEVA SYSTEMS INC., 4280

(Street)

06/01/2018

(Month/Day/Year)

below)

HACIENDA DRIVE

**SVP Global Customer Service** 

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person

Form filed by More than One Reporting Person

PLEASANTON, CA 94588

| (City)                               | (State)                                 | Zip) Table  | e I - Non-D                            | erivative   | Secur            | ities Acqu   | uired, Disposed of   | , or Beneficiall  | y Owned |
|--------------------------------------|---|---|--|---|------------------|--|--|---|---------|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securities Acquired tion(A) or Disposed of (D) (Instr. 3, 4 and 5) |                  | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |         |
| Class A                              |   |   | Code V                                 | Amount  | (A)<br>or<br>(D) | Price  | Reported<br>Transaction(s)<br>(Instr. 3 and 4)                       |   |         |
| Common<br>Stock                      | 06/01/2018(1)                           |   | M                                      | 2,875   | A                | \$ 0 (2)   | 2,875  | D   |         |
| Class A<br>Common<br>Stock           | 06/04/2018                              |   | S(3)                                   | 1,001   | D                | \$ 79.5  | 1,874  | D   |         |
| Class A<br>Common<br>Stock           | 06/05/2018                              |   | S(4)                                   | 1,874   | D                | \$<br>81.71  | 0  | D   |         |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | Code   | 5. Number<br>on Derivative<br>Securities<br>Acquired<br>(A) or<br>Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |  | 8. Pr<br>Deriv<br>Secu<br>(Inst |
|---|---|---|--------|---|--|--------------------|---|--|---------------------------------|
|   |   |   | Code V | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |                                 |
| Restricted<br>Stock<br>Units                        | <u>(2)</u>  | 06/01/2018(1)                           | M      | 2,250   | <u>(5)</u>   | (5)                | Class A<br>Common<br>Stock  | 2,250                                  | \$                              |
| Restricted<br>Stock<br>Units                        | (2)   | 06/01/2018(1)                           | M      | 625   | <u>(6)</u>   | <u>(6)</u>         | Class A<br>Common<br>Stock  | 625                                    | \$                              |

# **Reporting Owners**

| Reporting Owner Name / Address | Kelationships |           |            |       |  |  |  |
|--------------------------------|---------------|-----------|------------|-------|--|--|--|
|                                | Director      | 10% Owner | Officer    | Other |  |  |  |
| Lequient Frederic              |               |           | CVD Clobal |       |  |  |  |
| C/O VEEVA SYSTEMS INC.         |               |           | SVP Global |       |  |  |  |
| 4280 HACIENDA DRIVE            |               |           | Customer   |       |  |  |  |
| PLEASANTON, CA 94588           |               |           | Service    |       |  |  |  |

## **Signatures**

/s/ Meaghan S. Nelson,
attorney-in-fact 06/05/2018
\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transaction exempt from Section 16(b) of the Securities Exchange Act of 1934 (the "Act") pursuant to Rule 16b-6(b) promulgated under the Act.
- (2) Each Restricted Stock Unit ("RSU") represents a contingent right to receive one share of Class A Common Stock of the Issuer.

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- (3) The sales reported on this Form 4 were effected pursuant to Rule 10b5-1 trading plans adopted by the Reporting Person and were made to cover taxes associated with restricted stock vesting on June 1, 2018.
- (4) The sales reported on this Form 4 were effected pursuant to Rule 10b5-1 trading plans adopted by the Reporting Person.
  - On February 29, 2016, the Reporting Person was granted 36,000 RSUs under the Issuer's 2013 Equity Incentive Plan, of which 1/16 of
- (5) the RSUs vested on June 1, 2016, with 1/16 of the remaining RSUs vesting for each quarter of continuous service to the Issuer by the Reporting Person after June 1, 2016.
- On March 24, 2017, the Reporting Person was granted 10,000 RSUs under the Issuer's 2013 Equity Incentive Plan, of which 1/16 of the
- (6) RSUs vested on June 1, 2017, with 1/16 of the remaining RSUs vesting for each quarter of continuous service to the Issuer by the Reporting Person after June 1, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.