

SRINIVASAN MALLIKA

Form 4

April 27, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
SRINIVASAN MALLIKA

(Last) (First) (Middle)

TRACTORS AND FARM  
EQUIPMENT LIMITED, NO. 77,  
NUNGAMBAKKAM HIGH ROAD

(Street)

CHENNAI, K7 600 034

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
AGCO CORP /DE [AGCO]

3. Date of Earliest Transaction  
(Month/Day/Year)  
04/26/2018

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4)          |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| Common Stock                    | 04/26/2018                           |  | A                              | 1,914 <u>A</u><br>(1)   | \$ 0 15,067   | D  |  |
| Common Stock                    |                                      |  |                                |   | 3,263,321   | I  | By TAFE Motors and Tractors Limited (2)    |
| Common Stock                    |                                      |  |                                |   | 8,886,831   | I  | By Tractors and Farm Equipment Limited (3) |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Following Reporting Transaction (Instr. 6) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |         |       |
|--|---------------|-----------|---------|-------|
|  | Director      | 10% Owner | Officer | Other |
| SRINIVASAN MALLIKA<br>TRACTORS AND FARM EQUIPMENT LIMITED<br>NO. 77, NUNGAMBAKKAM HIGH ROAD<br>CHENNAI, K7 600 034 | X             | X         |         |       |

## Signatures

Lynnette D. Schoenfeld  
Attorney-in-Fact  
04/27/2018

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These shares reflect an award under the AGCO Corporation 2006 Long-Term Incentive Plan.
- TAFE Motors and Tractors Limited ("TMTL") is a wholly-owned subsidiary of Tractors and Farm Equipment Limited ("TAFE"). The reporting person is an officer of TMTL and is a beneficial owner of certain equity securities of TMTL. The reporting person disclaims
- (2) beneficial ownership of the shares reported in Column 5 of this row except to the extent of the reporting person's beneficial ownership of equity securities of TMTL, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such shares for purposes of Section 16 or for any other purpose.

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- (3) The reporting person is an officer of TAFE and is a beneficial owner of certain equity securities of TAFE. The reporting person disclaims beneficial ownership of the shares reported in Column 5 of the row except to the extent of the reporting person's beneficial ownership of equity securities of TAFE, and this report shall not be deemed an admission that the reporting person is the beneficial owner of such shares for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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