

Prakash Vidul  
Form 4/A  
April 16, 2018

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Prakash Vidul

(Last) (First) (Middle)

77 RIO ROBLES

(Street)

SAN JOSE, CA 95134

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
SUNPOWER CORP [SPWR]

3. Date of Earliest Transaction  
(Month/Day/Year)  
03/01/2018

4. If Amendment, Date Original Filed(Month/Day/Year)  
03/05/2018

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

Principal Accounting Officer

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  |                                | (A) or (D)  | Price   |  |                                   |
| Common Stock                    | 03/01/2018                           |  | M                              | 2,934 D   | \$ 0 18,549   | D  |                                   |
| Common Stock                    | 03/01/2018                           |  | M                              | 834 D   | \$ 0 19,383   | D  |                                   |
| Common Stock                    | 03/01/2018                           |  | M                              | 67 D  | \$ 0 19,450   | D  |                                   |
| Common Stock                    | 03/01/2018                           |  | M                              | 2,150 D   | \$ 0 21,600   | D  |                                   |
| Common Stock                    | 03/01/2018                           |  | M                              | 600 D   | \$ 0 22,200   | D  |                                   |

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|              |            |                  |       |   |         |        |   |
|--------------|------------|------------------|-------|---|---------|--------|---|
| Common Stock | 03/01/2018 | M                | 7,500 | D | \$ 0    | 29,700 | D |
| Common Stock | 03/01/2018 | F <sup>(1)</sup> | 1,015 | D | \$ 7.06 | 28,685 | D |
| Common Stock | 03/01/2018 | F <sup>(1)</sup> | 289   | D | \$ 7.06 | 28,396 | D |
| Common Stock | 03/01/2018 | F <sup>(1)</sup> | 24    | D | \$ 7.06 | 28,372 | D |
| Common Stock | 03/01/2018 | F <sup>(1)</sup> | 744   | D | \$ 7.06 | 27,628 | D |
| Common Stock | 03/01/2018 | F <sup>(1)</sup> | 208   | D | \$ 7.06 | 27,420 | D |
| Common Stock | 03/01/2018 | F <sup>(1)</sup> | 2,594 | D | \$ 7.06 | 24,826 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |       | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                  | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |       |
|--|--|--------------------------------------|--|--------------------------------|---|-------|--|------------------|---|-------|
|  |  |                                      |  |                                | V   | (A)   | (D)  | Date Exercisable | Expiration Date   | Title |
| Restricted Stock Units (RSUs)              | <u>(2)</u>   | 03/01/2018                           |  | M                              |   | 2,934 | <u>(3)</u>   | <u>(3)</u>       | Common Stock  | 2,934 |
| Restricted Stock Units (RSUs)              | <u>(2)</u>   | 03/01/2018                           |  | M                              |   | 834   | <u>(3)</u>   | <u>(3)</u>       | Common Stock  | 834   |
| Restricted Stock Units (RSUs)              | <u>(2)</u>   | 03/01/2018                           |  | M                              |   | 67    | <u>(3)</u>   | <u>(3)</u>       | Common Stock  | 67    |

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|                               |            |            |   |                      |            |            |              |        |
|-------------------------------|------------|------------|---|----------------------|------------|------------|--------------|--------|
| Restricted Stock Units (RSUs) | <u>(2)</u> | 03/01/2018 | M | 2,150                | <u>(4)</u> | <u>(4)</u> | Common Stock | 2,150  |
| Restricted Stock Units (RSUs) | <u>(2)</u> | 03/01/2018 | M | 600                  | <u>(4)</u> | <u>(4)</u> | Common Stock | 600    |
| Restricted Stock Units (RSUs) | <u>(2)</u> | 03/01/2018 | M | 7,500                | <u>(4)</u> | <u>(4)</u> | Common Stock | 7,500  |
| Restricted Stock Units (RSUs) | <u>(2)</u> | 03/01/2018 | A | 36,000<br><u>(5)</u> | <u>(6)</u> | <u>(6)</u> | Common Stock | 36,000 |

## Reporting Owners

| Reporting Owner Name / Address                       | Relationships |           |                              |       |
|--|---------------|-----------|------------------------------|-------|
|  | Director      | 10% Owner | Officer                      | Other |
| Prakash Vidul<br>77 RIO ROBLES<br>SAN JOSE, CA 95134 |               |           | Principal Accounting Officer |       |

## Signatures

|  |            |
|--|------------|
| Lauren Walz, attorney-in-fact for Vidul<br>Prakash | 04/16/2018 |
| <u>  </u> **Signature of Reporting Person          | Date       |

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Disposition of shares exempt under Rule 16b-3 as payment of tax liability to Company by delivery or withholding securities incident to vesting of restricted shares.
- (2) Each RSU represents a contingent right to receive one share of the Issuer's Common Stock upon vesting.
- (3) The last vesting date of these RSUs was March 1, 2018.
- (4) The remaining RSUs shall vest on each of March 1, 2019 and March 1, 2020.
- (5) Amendment filed solely to reflect an administrative correction to the original filing, whereby amounts were erroneously listed in column (A).
- (6) The RSUs shall vest in four equal installments on each of March 1, 2019, March 1, 2020, March 1, 2021 and March 1, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.