GREENHILL & CO INC

Form 4

February 01, 2017

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

OMB APPROVAL

Number:

3235-0287

Expires:

January 31, 2005

0.5

burden hours per

response...

Estimated average

if no longer subject to Section 16. Form 4 or Form 5

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction

(Print or Type Responses)

1(b).

1. Name and Address of Reporting Person * Wyles David

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

below)

GREENHILL & CO INC [GHL]

(Check all applicable)

(Last) (First)

(Middle)

3. Date of Earliest Transaction

Director

X_ Officer (give title

10% Owner Other (specify

GREENHILL & CO., INC., 300

(Street)

PARK AVENUE

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

below)

President

Filed(Month/Day/Year)

(Month/Day/Year)

01/30/2017

Applicable Line) _X_ Form filed by One Reporting Person

Form filed by More than One Reporting

Person

NEW YORK, NY 10022

(City)	(State)	(Zip) Tab	ole I - Non-	Derivative	Secu	rities Acqui	red, Disposed of,	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactic Code (Instr. 8)	4. Securit ord Dispos (Instr. 3, 4	ed of (4 and :	` ′	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/30/2017		M	5,488	(D)	\$ 0	29,087	D	
Common Stock	01/30/2017		F	2,580	D	\$ 29.55	26,507	D	
Common Stock	01/30/2017		M	5,229	A	\$0	31,736	D	
Common Stock	01/30/2017		F	2,458	D	\$ 29.55	29,278	D	
Common Stock	01/30/2017		M	3,799	A	\$ 0	33,077	D	

Edgar Filing: GREENHILL & CO INC - Form 4

Common Stock	01/30/2017	F	1,786	D	\$ 29.55	31,291	D
Common Stock	01/30/2017	M	9,970	A	\$ 0	41,261	D
Common Stock	01/30/2017	F	4,686	D	\$ 29.55	36,575	D
Common Stock	01/30/2017	M	13,324	A	\$ 0	49,899	D
Common Stock	01/30/2017	F	6,263	D	\$ 29.55	43,636	D
Common Stock	01/30/2017	M	17,578	A	\$ 0	61,214	D
Common Stock	01/30/2017	F	8,262	D	\$ 29.55	52,952	D
Common Stock	02/01/2017	S	11,677	D	\$ 29.2606	41,275	D
Common Stock	02/01/2017	S	16,100	D	\$ 29.2666	25,175	D
Common Stock	02/01/2017	S	11,641	D	\$ 29.543	13,534	D
Common Stock	02/01/2017	S	13,534	D	\$ 29.784	0	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	TransactionDerivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock	<u>(1)</u>	01/30/2017		M	5,488	<u>(1)</u>	<u>(1)</u>	Common Stock	5,488	

De Sec (In

Units								
Restricted Stock Units	(2)	01/30/2017	M	5,229	(2)	(2)	Common Stock	5,229
Restricted Stock Units	(3)	01/30/2017	M	3,799	(3)	(3)	Common Stock	3,799
Restricted Stock Units	<u>(4)</u>	01/30/2017	M	9,970	<u>(4)</u>	<u>(4)</u>	Common Stock	9,970
Restricted Stock Units	<u>(5)</u>	01/30/2017	M	13,324	(5)	<u>(5)</u>	Common Stock	13,324
Restricted Stock	<u>(6)</u>	01/30/2017	M	17,578	<u>(6)</u>	<u>(6)</u>	Common Stock	17,578

Reporting Owners

Reporting Owner Name / Address	Relationships					
F-	Director	10% Owner	Officer	Other		
Wyles David						
GREENHILL & CO., INC.			President			
300 PARK AVENUE			Tresident			
NEW YORK, NY 10022						

Signatures

Units

/s/ Ricardo Lima, Attorney-in-Fact for David A.
Wyles

02/01/2017

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- comprising the award vest in full on January 1 of the fifth calendar year following the grant date and are subject to payment within 75 days following such vesting date. Each unit represents a right to receive one share of Common Stock or an amount equal to the market value of the Common Stock underlying the vested award on the vesting date. Payment may be made in cash, shares of Common Stock or a combination thereof. On January 30, 2017, the vesting in full of this restricted stock unit award was settled in shares. Shares were withheld to satisfy applicable tax withholding obligations.

This restricted stock unit award was granted on February 3, 2012 under the Greenhill & Co., Inc. Equity Incentive Plan. The units

This restricted stock unit award was granted on February 3, 2012 under the Greenhill & Co., Inc. Equity Incentive Plan. The units comprising the award vest in equal increments on each of the first, second, third, fourth and fifth anniversaries of the date of grant (each, a vesting date) and are subject to payment within 75 days following each such vesting date. Each unit represents a right to receive one share of Common Stock or an amount equal to the market value of the Common Stock underlying the vested award on the applicable vesting date. Payment may be made in cash, shares of Common Stock or a combination thereof. On January 30, 2017, the fifth vesting of this restricted stock unit award was settled in shares. Shares were withheld to satisfy applicable tax withholding obligations.

(3)

Reporting Owners 3

Edgar Filing: GREENHILL & CO INC - Form 4

This restricted stock unit award was granted on January 30, 2013 under the Greenhill & Co., Inc. Equity Incentive Plan. The units comprising the award vest in equal increments on each of the first, second, third, fourth and fifth anniversaries of the date of grant (each, a vesting date) and are subject to payment within 75 days following each such vesting date. Each unit represents a right to receive one share of Common Stock or an amount equal to the market value of the Common Stock underlying the vested award on the applicable vesting date. Payment may be made in cash, shares of Common Stock or a combination thereof. On January 30, 2017, the fourth vesting of this restricted stock unit award was settled in shares. Shares were withheld to satisfy applicable tax withholding obligations.

This restricted stock unit award was granted on February 4, 2014 under the Greenhill & Co., Inc. Equity Incentive Plan. The units comprising the award vest in equal increments on each of the first, second, third, fourth and fifth anniversaries of the date of grant (each, a vesting date) and are subject to payment within 75 days following each such vesting date. Each unit represents a right to receive one share of Common Stock or an amount equal to the market value of the Common Stock underlying the vested award on the applicable vesting date. Payment may be made in cash, shares of Common Stock or a combination thereof. On January 30, 2017, the third vesting of this restricted stock unit award was settled in shares. Shares were withheld to satisfy applicable tax withholding obligations.

This restricted stock unit award was granted on February 9, 2015 under the Greenhill & Co., Inc. Equity Incentive Plan. The units comprising the award vest in equal increments on each of the first, second, third, fourth and fifth anniversaries of the date of grant (each, a vesting date) and are subject to payment within 75 days following each such vesting date. Each unit represents a right to receive one share of Common Stock or an amount equal to the market value of the Common Stock underlying the vested award on the applicable vesting date. Payment may be made in cash, shares of Common Stock or a combination thereof. On January 30, 2017, the second vesting of this restricted stock unit award was settled in shares. Shares were withheld to satisfy applicable tax withholding obligations.

This restricted stock unit award was granted on January 27, 2016 under the Greenhill & Co., Inc. Equity Incentive Plan. The units comprising the award vest in equal increments on each of the first, second, third, fourth and fifth anniversaries of the date of grant (each, a vesting date) and are subject to payment within 75 days following each such vesting date. Each unit represents a right to receive one share of Common Stock or an amount equal to the market value of the Common Stock underlying the vested award on the applicable vesting date. Payment may be made in cash, shares of Common Stock or a combination thereof. On January 30, 2017, the first vesting of this restricted stock unit award was settled in shares. Shares were withheld to satisfy applicable tax withholding obligations.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.