Edgar Filing: VMWARE, INC. - Form 4

Form 4 September 09, 2016 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 2054 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 2054 Check this hox if no longer subject to Section 16. Form 4 or Form 5 or Section 16. Form 4 or Section 16. Form 4 or Form 5 or Section 17(a) of the Public Utility Holding Company Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). (Print or Type Response.) 1. Name and Address of Reporting Person 1 (Law) (First) (Muldle) 3. Date of Earlies Transaction Symbol VMWARE, INC. [VMW] (Law) (First) (Muldle) 3. Date of Earlies Transaction Symbol VMWARE, INC. [VMW] (Law) (First) (Muldle) 3. Date of Earlies Transaction Symbol VMWARE, INC. [VMW] (Law) (First) (Muldle) 3. Date of Earlies Transaction Symbol VMWARE, INC. [VMW] (Law) (First) (Muldle) 3. Date of Earlies Transaction Filed (Month/Day/Year) (Check all applicable Line) -X.Form filed by One Reporting Person 2 (Stereet) 4. If Amendment, Date Original Filed (Month/Day/Year) (Direct Transaction/A) or Disposed of (D) Serior VP, Chief Legal Officer PALO ALTO, CA 94304 (City) (State) (Zip) Table I - Non-Derivative Securities Securities (Direct Transaction/A) or Disposed of (D) Securities (Month/Day/Year) (Instr. 3) 4. Securities Acquired (State) (State) (Zip) Table I - Non-Derivative Securities (Direct Director (City) (State) (Zip) Table I - Non-Derivative Securities (Direct Direct) (Direct Direct) (City) (State) (Zip) Table I - Non-Derivative Securities (Direct) (Direct) (Direct) (Month/Day/Year) (Instr. 3) 4. Securities (Month/Day/Year) (Instr. 4) (Nonth/Day/Year) (City) (Month/Day/Year) (Instr. 3) 4. Securities (Month/Day/Year) (Instr. 4) (Instr.	VMWARE,	INC.										
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL OMB OMB APPROVAL OMB OND APPROVAL Washington, D.C. 20549 OMB March 2015 Scalar 2015 Statement or Form 4 or Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIPOT SECURITIES Statement of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). Statement of 1940 (a) Statement of 1940 (b) Statement of 1940 (c) Statement of 19	Form 4											
Check this box if no longer subject to Section 16. STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB Supervised Section 16. OMB Supervised Section 16. 3235-0287 Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Expires: Section 16. 200E Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Filed pursuant to Section 16(a) of the Investment Company Act of 1940 1(b). Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). S	September 0	9, 2016										
Check this box if no longer subject to Section 16. SECURITIES Form 4 or Form 5 of Section 17(a) of the Public Utility Holding Company Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section it(b). (Print or Type Responses) 1. Name and Address of Reporting Person [*] Sinth S. Dawn Simith S. Simith Simithal	FORM							OMB				
1. Name and Address of Reporting Person 1 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) 3401 HILLVIEW AVENUE 09/08/2016	if no lon, subject to Section 2 Form 4 of Form 5 obligatio may con <i>See</i> Instr	ger o 16. or Filed p sns tinue.	oursuant to 7(a) of the	DF CHAN Section 10 Public Ut	GES IN I SECUR 6(a) of the ility Hold	Expires: January 31 Expires: 2005 Estimated average burden hours per response 0.5						
Smith S. Dawn Symbol Sy	(Print or Type	Responses)										
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(Last) (First) (Middle) 3. Date of Earliest Transaction 3401 HILLVIEW AVENUE (Month/Day/Year)				VMWA	RE, INC.	[VMW]			(Chec	k all applicable	:)	
Filed(Month/Day/Year) Applicable Line) X. Form filed by One Reporting Person PALO ALTO, CA 94304 Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned Owned 1. Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. 4. Securities Acquired (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Indirect (I) Over Beneficial Ownership Following (Instr. 4) 6. Ownership (Instr. 4) 7. Nature of Securities Beneficially (Instr. 4) Code V Amount O Price 5. Amount of (Instr. 4) 6. Ownership (Instr. 4) 6. Ownership (Instr. 4) Class A Code V Amount O Price 5. TA (52 and 4) D	(Month				Month/Day/Year)				Director X Officer (give below)	title 10% below)	Owner er (specify	
PALO ALTO, CA 94304 $\begin{array}{c cccc} & (City) & (State) & (Zip) & Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned Indirect II Owned Indirect II Owned Indirect II Owned Indirect II Owned Indirect II Ownership Following (Instr. 4) (Instr. 4) $	(Street) 4. 1			4. If Ame	4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
(City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, Disposed of, Disposed of (D) (Month/Day/Year) S. Amount of Securities any (Month/Day/Year) S. Amount of Securities and Securities any (Month/Day/Year) S. Amount of Securities and Securities and Securities and Securities any (Month/Day/Year) S. Amount of Securities and S	PALO ALT	CO, CA 94304		Filed(Mon	th/Day/Year)			_X_ Form filed by C Form filed by M			
1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year) 2A. Deemed Execution Date, if any (Month/Day/Year) 3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) 5. Amount of Securities Beneficially Owned Following (Instr. 4) 6. Ownership 7. Nature of Form: Direct Indirect Indirect (I) Ownership Following (Instr. 4) Class A 00/00/2011(00/00/2011(00/00/2011(00/00/2011(00/00/2011((City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Aco		or Beneficial	lv Owned	
α 00/00/001/C α 077 β 54.(52 β	Security (Instr. 3)		ar) Execution any	emed on Date, if	3. Transactio Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	ties Ad sposed 4 and (A) or	cquired d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I)	7. Nature of Indirect Beneficial Ownership	
		09/08/2016			S	977	D	\$ 73.50	54,653	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Smith S. Dawn 3401 HILLVIEW AVENUE PALO ALTO, CA 94304			Senior VP, Chief Legal Officer					
Signatures								
Larry Wainblat, attorney-in-fact	09/	09/2016						
**Signature of Reporting Person		Date						

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.