

AGL RESOURCES INC  
Form 4  
July 05, 2016

**FORM 4**

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Tumminello Peter I

(Last) (First) (Middle)

TEN PEACHTREE PLACE

(Street)

ATLANTA, GA 30309

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
AGL RESOURCES INC [GAS]

3. Date of Earliest Transaction (Month/Day/Year)  
07/01/2016

4. If Amendment, Date Original Filed (Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
EVP & Pres., Sequent Energy

6. Individual or Joint/Group Filing (Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
|                                 |                                      |  | Code                           | V   | Amount or Price   |  |   |
| Common Stock                    | 07/01/2016                           |  | M                              |   | 1,524.544<br>(1)  | A  | (2) 24,212.088 D                                      |
| Common Stock                    | 07/01/2016                           |  | F                              |   | 639   | D  | \$ 66 23,573.088 D                                    |
| Common Stock                    | 07/01/2016                           |  | M                              |   | 4,065.257<br>(3)  | A  | (2) 27,638.345 D                                      |
| Common Stock                    | 07/01/2016                           |  | F                              |   | 1,704   | D  | \$ 66 25,934.345 D                                    |
| Common Stock                    | 07/01/2016                           |  | D                              |   | 25,934.345<br>(4)   | D  | (5) 0 D   |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 3 and 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|---|

## Reporting Owners

| Reporting Owner Name / Address                                 | Relationships |           |                             |       |
|--|---------------|-----------|-----------------------------|-------|
|  | Director      | 10% Owner | Officer                     | Other |
| Tumminello Peter I<br>TEN PEACHTREE PLACE<br>ATLANTA, GA 30309 |               |           | EVP & Pres., Sequent Energy |       |

## Signatures

Barbara P. Christopher, by power of attorney  
Date: 07/05/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) These shares were issued pursuant to the vesting of performance-based restricted stock units (for which the value of the performance right is not tied solely to the value of an equity security of the Issuer) ("Restricted Stock Units") in accordance with the Agreement and Plan of Merger, dated as of August 23, 2015 (the "Merger Agreement") by and among AGL Resources Inc., The Southern Company and AMS Corp.
- (2) Restricted Stock Units and restricted stock convert into common stock on a one-for-one basis in accordance with the Merger Agreement.
- (3) These shares were issued pursuant to the vesting of restricted stock in accordance with the Merger Agreement.
- (4) Includes 276.866 shares allocated to the reporting person's account on June 1, 2016 pursuant to a dividend reinvestment feature of the AGL Resources Inc. Direct Stock Purchase and Dividend Reinvestment Plan. Also includes 103.106 shares allocated to the reporting

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person's account on June 1, 2016 under the AGL Resources Inc. Employee Stock Purchase Plan.

- (5) Disposed of pursuant to the Merger Agreement in exchange for \$66.00 in cash for each share of AGL Resources Inc. common stock held immediately prior to the Effective Time of the merger, as defined in the Merger Agreement.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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