

AERIE PHARMACEUTICALS INC  
 Form 4  
 April 26, 2016

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2015  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
 Cagle Gerald D.

2. Issuer Name and Ticker or Trading Symbol  
 AERIE PHARMACEUTICALS INC  
 [AERI]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
 (Month/Day/Year)  
 02/04/2015

Director  10% Owner  
 Officer (give title below)  Other (specify below)

C/O AERIE  
 PHARMACEUTICALS, INC., 2030  
 MAIN STREET, SUITE 1500

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

IRVINE, CA 92614

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |       |   |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|-------|---|
|                                 |                                      |  | Code                           | V   | Amount  | (A) or (D)   | Price   |       |   |
| Common Stock                    | 02/04/2015                           |  | P                              |   | 500   | A  | \$ 25.6   | 4,054 | D |
| Common Stock                    | 02/05/2015                           |  | P                              |   | 500   | A  | \$ 24.54  | 4,554 | D |
| Common Stock                    | 08/10/2015                           |  | P                              |   | 100   | A  | \$ 16.31  | 4,654 | D |
| Common Stock                    | 08/10/2015                           |  | P                              |   | 100   | A  | \$ 16.345   | 4,754 | D |
|                                 | 08/10/2015                           |  | P                              |   | 100   | A  | \$ 16.35  | 4,854 | D |

Edgar Filing: AERIE PHARMACEUTICALS INC - Form 4

|              |            |  |   |       |   |            |       |   |
|--------------|------------|--|---|-------|---|------------|-------|---|
| Common Stock |            |  |   |       |   |            |       |   |
| Common Stock | 08/10/2015 |  | P | 846   | A | \$ 16.39   | 5,700 | D |
| Common Stock | 08/12/2015 |  | P | 500   | A | \$ 15.4585 | 6,200 | D |
| Common Stock | 08/28/2015 |  | P | 1,524 | A | \$ 16.6    | 7,724 | D |
| Common Stock | 08/28/2015 |  | P | 476   | A | \$ 16.5999 | 8,200 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Owned Beneficially (Instr. 5) |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--|--|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title                                      | Amount or Number of Shares                                       |

## Reporting Owners

**Reporting Owner Name / Address**

**Relationships**

Director    10% Owner    Officer    Other

Cagle Gerald D.  
C/O AERIE PHARMACEUTICALS, INC.,  
2030 MAIN STREET, SUITE 1500  
IRVINE, CA 92614

X

## Signatures

/s/ Richard J. Rubino, Attorney-in-Fact for Gerald D.  
Cagle

04/26/2016

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

### Remarks:

This Form 4 reports delinquent transactions that would have been reportable on February 6, 2015, August 12, 2015 and September 15, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.