Opko Health, Inc. Form 4 March 18, 2016

## FORM 4

### **OMB APPROVAL**

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number: January 31,

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Expires: 2005 Estimated average burden hours per response... 0.5

10% Owner

\_ Other (specify

5. Relationship of Reporting Person(s) to

(Check all applicable)

below)

Issuer

below)

\_X\_\_ Director X\_ Officer (give title

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

**SECURITIES** 

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

Opko Health, Inc. [OPK]

3. Date of Earliest Transaction

(Month/Day/Year)

03/17/2016

Symbol

1(b).

(Last)

(Print or Type Responses)

HSIAO JANE PH D

1. Name and Address of Reporting Person \*

(First)

OPKO HEALTH, INC., 4400

(Middle)

BISCAYNE	E BLVD.						Vice (	Chairman & CT	О
	(Street)		endment, Da nth/Day/Year		1		6. Individual or J Applicable Line) _X_ Form filed by	•	
MIAMI, FL 33137							Form filed by More than One Reporting Person		
(City)	(State)	(Zip) Tabl	le I - Non-D	erivative	Secui	rities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	(Instr. 3,	ispose 4 and (A) or	ed of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
Common Stock	03/17/2016		Code V P	Amount 500	(D)	Price \$ 10.59	24,599,933	D	
Common Stock	03/17/2016		P	700	A	\$ 10.7	24,600,633	D	
Common Stock							4,617,404	I	See Footnote
Common Stock							1,000,000	I	See Footnote
							1,000,000	I	

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Common See Stock Footnote

Common Stock

20,091,062

See Footnote

(4)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	Amou Under Secur	rlying	8. Price of Derivative Security (Instr. 5)
					4, and 5)	Date Exercisable	Expiration Date	Title	Amount or Number	

9. Nu

Deriv

Secu

Bene Own Follo Repo Trans (Insti

of

Code V (A) (D)

Shares

# **Reporting Owners**

Reporting Owner Name / Address	Relationships
Reporting Owner Name / Address	

Director 10% Owner Officer Other

HSIAO JANE PH D OPKO HEALTH, INC. 4400 BISCAYNE BLVD. **MIAMI, FL 33137** 

X

Vice Chairman & CTO

### **Signatures**

Adam Logal, 03/18/2016 Attorney-In-Fact

\*\*Signature of Reporting Person Date

2 Reporting Owners

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### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The securities are owned directly by Hsu Gamma Investment, L.P. Dr. Hsiao is the general partner of Hsu Gamma Investment, L.P. The reporting person disclaims beneficial ownership of these securities, except to the extent of any pecuniary interest therein and this report
- shall not be deemed an admission that the reporting person is the beneficial owner of the securities for purposes of Section 16 or for any other purpose.
- (2) The securities are held by The Chin Hsiao Family Trust A, of which Jane H. Hsiao, Ph.D. is the trustee.
- (3) The securities are held by The Chin Hsiao Family Trust B, of which Jane H. Hsiao, Ph.D. is the trustee.
  - These securities are owned directly by The Frost Group, LLC. The reporting person disclaims beneficial ownership of these securities,
- (4) except to the extent of any pecuniary interest therein and this report shall not be deemed an admission that the reporting person is the beneficial owner of the securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.