**DEAN FOODS CO** Form 4

February 17, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: Expires:

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** Form 4 or

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \* WARMBIER KIMBERLY

(First)

(Street)

(Middle)

2. Issuer Name and Ticker or Trading Symbol

DEAN FOODS CO [DF]

3. Date of Earliest Transaction

(Month/Day/Year) 02/13/2016

4. If Amendment, Date Original

Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

Estimated average

burden hours per

response...

3235-0287

January 31,

2005

0.5

(Check all applicable)

Director 10% Owner X\_ Officer (give title \_ Other (specify below)

EVP, Chief HR Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

DALLAS, TX 75204

2711 NORTH HASKELL

**AVENUE, SUITE 3400** 

(City)	(State) (	Zip) Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of	f, or Beneficial	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction(A) or Di Code (Instr. 3, (Instr. 8)		(A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/13/2016		Code V M	Amount 4,800 (1)	(D)	Price \$ 0	31,522	D	
Common Stock	02/13/2016		F	1,477 (1)	D	\$ 20.67	30,045	D	
Common Stock	02/15/2016		M	4,870 (2)	A	\$ 0	34,915	D	
Common Stock	02/15/2016		F	1,310 (2)	D	\$ 20.67	33,605	D	
Common Stock	02/16/2016		M	4,669 (3)	A	\$ 0	38,274	D	

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Common Stock 02/16/2016 F  $\frac{1,277}{\underline{(3)}}$  D  $\frac{\$}{20.96}$  36,997 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amou Underlying Securi (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo or Nun of Shar
Restricted Stock Units (DU005762)	\$ 0	02/13/2016		M	4,800 (1)	02/13/2015(5)	02/13/2024	Common Stock	4,8 <u>(</u>
Restricted Stock Units (DU705738)	\$ 0	02/15/2016		M	4,870 ( <u>2)</u>	02/15/2014(5)	02/15/2023	Common Stock	4,8 (1
Restricted Stock Units (DU005820)	\$ 0	02/16/2016		M	4,669 ( <u>3)</u>	02/16/2016(5)	02/16/2025	Common Stock	4,6 (1

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

WARMBIER KIMBERLY 2711 NORTH HASKELL AVENUE SUITE 3400 DALLAS, TX 75204

EVP, Chief HR Officer

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### **Signatures**

Kay F. Stockler Attorney-in-Fact

02/17/2016

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Reporting Person received a total of 4,800 shares of Common Stock of the Issuer pursuant to the vesting provisions in the award of (1) restricted stock units (RSUs). A total of 1,477 shares were surrendered to satisfy tax obligations of the Reporting Person, resulting in the issuance of a total of 3,323 net shares of Common Stock.
- The Reporting Person received a total of 4,870 shares of Common Stock of the Issuer pursuant to the vesting provisions in the award of (2) RSUs. A total of 1,310 shares were surrendered to satisfy tax obligations of the Reporting Person, resulting in the issuance of a total of 3,560 net shares of Common Stock.
- The Reporting Person received a total of 4,669 shares of Common Stock of the Issuer pursuant to the vesting provisions in the award of (3) RSUs. A total of 1,277 shares were surrendered to satisfy tax obligations of the Reporting Person, resulting in the issuance of a total of 3,392 net shares of Common Stock.
- (4) The Reporting Person received an award of RSUs, which represents the right to receive shares of Common Stock of the Issuer in the future, subject to the terms and conditions of the related award agreement.
- (5) This RSU award vests annually, on a pro rata basis, over a 3-year period beginning on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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