

FISERV INC  
Form 4  
November 04, 2015

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Hirsch Thomas J

(Last) (First) (Middle)  
255 FISERV DRIVE  
(Street)

BROOKFIELD, WI 53045

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
FISERV INC [FISV]

3. Date of Earliest Transaction (Month/Day/Year)  
11/02/2015

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_ Director \_\_\_ 10% Owner  
 Officer (give title below) \_\_\_ Other (specify below)  
Chief Financial Officer

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
|                                 |                                      |  | Code                           | V   | Amount or Price   |  |                                   |
| Common Stock                    | 11/02/2015                           |  | M                              |   | 25,000 A \$ 27.35   | 92,996 <sup>(1)</sup>                                    | D                                 |
| Common Stock                    | 11/02/2015                           |  | F                              |   | 15,961 <sub>(2)</sub> D \$ 96.8   | 77,035   | D                                 |
| Common Stock                    | 11/02/2015                           |  | M                              |   | 38,740 A \$ 27.11   | 115,775  | D                                 |
| Common Stock                    | 11/02/2015                           |  | F                              |   | 24,680 <sub>(2)</sub> D \$ 96.859   | 91,095   | D                                 |
| Common Stock                    | 11/02/2015                           |  | M                              |   | 48,424 A \$ 27.11   | 139,519  | D                                 |

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|              |            |   |                      |   |                        |         |   |
|--------------|------------|---|----------------------|---|------------------------|---------|---|
| Common Stock | 11/02/2015 | F | <u>30,848</u><br>(2) | D | \$ 96.89               | 108,671 | D |
| Common Stock | 11/02/2015 | M | 88,248               | A | \$ 16.37               | 196,919 | D |
| Common Stock | 11/02/2015 | F | <u>51,286</u><br>(2) | D | \$ 96.89               | 145,633 | D |
| Common Stock | 11/02/2015 | M | 50,000               | A | \$ 23.85               | 195,633 | D |
| Common Stock | 11/02/2015 | F | <u>31,009</u><br>(2) | D | \$ 96.81               | 164,624 | D |
| Common Stock | 11/02/2015 | S | 96,628               | D | <u>\$ 96.66</u><br>(3) | 67,996  | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |              |                            |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|--------------|----------------------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date   | Title        | Amount or Number of Shares |
| Employee Stock Option (right to buy)       | \$ 27.35   | 11/02/2015                           |  | M                              | 25,000  | 02/23/2007 <sup>(4)</sup>                                | 02/23/2017  | Common Stock | 25,000                     |
| Employee Stock Option (right to buy)       | \$ 27.11   | 11/02/2015                           |  | M                              | 38,740  | 02/27/2011   | 02/27/2018  | Common Stock | 38,740                     |
| Employee Stock Option (right to buy)       | \$ 27.11   | 11/02/2015                           |  | M                              | 48,424  | 02/27/2009 <sup>(5)</sup>                                | 02/27/2018  | Common Stock | 48,424                     |

buy)

Employee  
Stock

|        |          |            |  |   |        |                           |            |                 |      |
|--------|----------|------------|--|---|--------|---------------------------|------------|-----------------|------|
| Option | \$ 16.37 | 11/02/2015 |  | M | 88,248 | 02/26/2010 <sup>(6)</sup> | 02/26/2019 | Common<br>Stock | 88,2 |
|--------|----------|------------|--|---|--------|---------------------------|------------|-----------------|------|

(right to  
buy)

Employee  
Stock

|        |          |            |  |   |        |                           |            |                 |      |
|--------|----------|------------|--|---|--------|---------------------------|------------|-----------------|------|
| Option | \$ 23.85 | 11/02/2015 |  | M | 50,000 | 02/24/2011 <sup>(7)</sup> | 02/24/2020 | Common<br>Stock | 50,0 |
|--------|----------|------------|--|---|--------|---------------------------|------------|-----------------|------|

(right to  
buy)

## Reporting Owners

| Reporting Owner Name / Address                              | Relationships |           |                               |       |
|---|---------------|-----------|-------------------------------|-------|
|   | Director      | 10% Owner | Officer                       | Other |
| Hirsch Thomas J<br>255 FISERV DRIVE<br>BROOKFIELD, WI 53045 |               |           | Chief<br>Financial<br>Officer |       |

## Signatures

/s/ Thomas J.  
Hirsch

11/03/2015

\*\*Signature of  
Reporting Person

Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Transactions reported herein are for estate and financial planning purposes in anticipation of previously announced retirement on March 31, 2016.
- (2) Reflects payment of exercise price and tax liability by withholding securities incident to exercise of stock options.
- (3) This transaction was executed in multiple trades at prices ranging from \$96.30 to \$96.89. The price reported above reflects the weighted average sale price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transaction was effected.
- (4) This option vested in five equal installments on the grant date, February 23, 2007, and on each anniversary of the grant date.
- (5) This option vested in three equal installments on each anniversary of the grant date, February 27, 2008.
- (6) This option vested in three equal installments on each anniversary of the grant date, February 26, 2009.
- (7) This option, representing a right to purchase a total of 83,320 shares, vested in three equal installments on each anniversary of the grant date, February 24, 2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.