Edgar Filing: SVB FINANCIAL GROUP - Form 4

	NCIAL GROUP										
Form 4 September	04. 2015										
FOR	ЛЛ									B APPROVAL	
	SECURITIES AND EXCHANGE COMMISSIO Washington, D.C. 20549						N OMB Number	3235-0287			
if no lo subject Section Form 4 Form 5 obligat may co	to 16. or Filed pu ions Section 17	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							F Estimat burden respons	Expires: January 31, 2005 Estimated average burden hours per response 0.5	
(Print or Type	e Responses)										
1. Name and Address of Reporting Person <u>*</u> Cadieux Marc C			2. Issuer Name and Ticker or Trading Symbol SVB FINANCIAL GROUP [SIVB]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction					(Check all applicable)			
3005 TASMAN DRIVE			(Month/Day/Year) 09/03/2015					Director 10% Owner X Officer (give title Other (specify below) below) Chief Credit Officer			
				. If Amendment, Date Original ïled(Month/Day/Year)				 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting 			
SANTA C	CLARA, CA 9505	4						Person		ie Reporting	
(City)	(State)	(Zip)	Та	ble I - Non	-Derivativ	ve Sec	urities Acc	luired, Disposed	of, or Benefi	cially Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	nsaction Date 2A. Deemed n/Day/Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of (D) Code (Instr. 3, 4 and 5) (Instr. 8) (A) or Code V Amount (D) Price				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	orm: Beneficial rect (D) Ownership Indirect (Instr. 4)	
Common Stock	09/03/2015			М	755	A	<u>(1)</u>	8,221	D		
Common Stock	09/03/2015			F	284 <u>(2)</u>	D	\$ 124.45	7,937	D		
Common Stock								6,092 <u>(3)</u>	Ι	By 401(k)/ESOP	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

Edgar Filing: SVB FINANCIAL GROUP - Form 4

number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number Transaction Code Derivative (Instr. 8) Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		(Instr. 3 and 4)		8. D Se (I:
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Unit	\$ 0	09/03/2015		М	755	09/03/2014	09/03/2020	Common Stock	755	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Cadieux Marc C 3005 TASMAN DRIVE SANTA CLARA, CA 95054			Chief Credit Officer				
Signatures							

Denise West, Attorney-in-Fact for Marc Cadieux

**Signature of Reporting Person

Date

09/04/2015

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Each restricted stock unit represents a contingent right to receive one share of the Issuer's common Stock.
- (2) Shares withheld by Issuer for payment of tax liability incurred upon vesting of restricted stock units.
- (3) The information in this report is based on 401(k)/ESOP Plan statement dated as of June 30, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.