Edgar Filing: SVB FINANCIAL GROUP - Form 4

SVB FINANC Form 4 May 14, 2015 FORM	Л	CT A TEC	SECU	DITIES		ven	ANCE	COMMISSIC		IB APPROVAL	
UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549									Numbe	January 31	
if no longer subject to Section 16. Form 4 or Form 5 obligations	 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 								Estima burden respon I,	ated average n hours per	
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b).											
(Print or Type Responses)											
1. Name and Add BECKER GR	2. Issuer Name and Ticker or Trading Symbol SVB FINANCIAL GROUP [SIVB]					5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) SVB FINANC TASMAN DR	3. Date of Earliest Transaction (Month/Day/Year) 05/12/2015					Director 10% Owner X Officer (give title Other (specify below) President and CEO					
SANTA CLA	(Street) RA, CA 95054	4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Tal	ble I - Non-	Derivativ	e Seci	urities Ac	quired, Disposed	l of, or Bene	ficially Owned	
	rity (Month/Day/Year) Execution Date, if			3. Transactio Code (Instr. 8)	(Instr. 3,	ispose 4 and (A) or	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common 05 Stock	5/12/2015			Code V M	3,570	(D) A	Price \$ 45.19	40,986	Ι	Revocable Trust	
Common 05 Stock 05	5/12/2015			S	3,570	D	\$ 135	37,416 <u>(1)</u>	Ι	Revocable Trust	
Common Stock								3,525	Ι	By 401(k)/ESOP	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	tionof Derivative Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		(Instr. 3 and 4)		8 D S (I
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock Option	\$ 45.19	05/12/2015		М		3,570	07/27/2011	07/27/2017	Common Stock	3,570	• •

Reporting Owners

Reporting Owner Name / Address	Relationships								
1	Director	10% Owner	Officer	Other					
BECKER GREGORY W SVB FINANCIAL GROUP 3005 TASMAN DRIVE SANTA CLARA, CA 95054			President and CEO						
Signatures									
Denise West, Attorney-in-Fact Becker	for Grego	ory	05/14/2015						
<u>**</u> Signature of Reporting Per	son		Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Since the date of the reporting person's last ownership report, he disposed a total of 4,543 shares of common stock in a transaction exempted from Section 16 of the Securities Exchange Act of 1934, as amended, pursuant to Rule 16a-12 thereunder.

Remarks:

All transactions reported in this Form 4 were affected pursuant to a Rule 10b5-1 trading plan entered into by the reporting personal sector of the sector of

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.