#### CAPITAL SENIOR LIVING CORP

Form 4 March 03, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

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if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Common

Stock

02/27/2015

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading JOHANNESSEN KEITH N Issuer Symbol CAPITAL SENIOR LIVING CORP (Check all applicable) [CSU] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_\_ Director 10% Owner X\_ Officer (give title Other (specify (Month/Day/Year) below) **14160 DALLAS** 02/27/2015 President & COO PARKWAY, SUITE 300 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting DALLAS, TX 75254 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1. Title of 2. Transaction Date 2A. Deemed 6. Ownership 7. Nature of 3. 4. Securities Acquired 5. Amount of Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of Securities Form: Direct Indirect (Instr. 3) Code (D) Beneficially (D) or Beneficial (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Indirect (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Price Amount (D) Common 40,000 \$0 02/27/2015 A A 420,545 D (1) Stock Common 40,000 02/27/2015 \$0 D Α A 460,545 Stock (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

D

 $66 \frac{(3)}{}$ 

D

\$0

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form

460,479

D

(9-02)

### Edgar Filing: CAPITAL SENIOR LIVING CORP - Form 4

displays a currently valid OMB control

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exerc	cisable and	7. Title	and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	TransactionNumber		Expiration D	n Date A		of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	Year)	Underly	ing	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	r. 8) Derivative			Securitie	es	(Instr. 5)	Bene
	Derivative	ve Securities				(Instr. 3 and 4)				Owne	
	Security				Acquired	Acquired					Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						
					4, and 5)						
									mount		
						Date	Expiration	O:			
						Exercisable	^	Title Number of			
				~	<i>(</i> 1) (5)						
				Code V	(A) (D)			S	hares		

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
.r. g	Director	10% Owner	Officer	Other			
JOHANNESSEN KEITH N							
14160 DALLAS PARKWAY	X		President & COO				
SUITE 300	Λ		riesident & COO				
DALLAS, TX 75254							

# **Signatures**

Person

/s/ Keith N.

Johannessen 03/03/2015 Date \*\*Signature of Reporting

### **Explanation of Responses:**

- If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Represents shares of restricted stock granted on February 27, 2015 under the Company's 2007 Omnibus Stock and Incentive Plan, which **(1)** vest in three installments of 33%, 33% and 34% on February 27, 2016, February 27, 2017 and February 27, 2018, respectively.
- Represents shares of restricted stock granted on February 27, 2015 under the Company's 2007 Omnibus Stock and Incentive Plan, which (2) vest in three installments of 33%, 33% and 34% on February 27, 2016, February 27, 2017 and February 27, 2018, respectively, provided the Company satisfies certain performance measures with respect to fiscal 2015, fiscal 2016 and fiscal 2017.
- Represents shares of performance-based restricted stock that were previously reported as beneficially owned by the reporting person as of March 4, 2014, but were subsequently forfeited due to the Company achieving 99.5% (but not 100%) of the performance target with respect to such shares for fiscal 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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