

HOME BANCSHARES INC
Form 4
May 02, 2014

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LIEBLONG ALEX R

2. Issuer Name and Ticker or Trading Symbol
HOME BANCSHARES INC
[HOMB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
04/30/2014

Director 10% Owner
 Officer (give title below) Other (specify below)

P.O. BOX 966

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

CONWAY, AR 72033

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect or Beneficial Ownership (Instr. 4)
				(A) or (D) Code V Amount (D) Price			
Common Stock	04/30/2014		S	59,341 D	\$ 31.4931 655,389	I	By Key Colony Fund (hedge fund managing partner)
Common Stock	05/01/2014		S	18,000 D	\$ 31.4018 637,389	I	By Key Colony Fund (hedge fund)

managing partner)

Common Stock - Restricted	1,333 ⁽⁸⁾ ⁽⁹⁾ <u>(10)</u>	D
Common Stock	393,692 ⁽¹⁰⁾	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V (A) (D)		
Stock Option	\$ 3.08					<u>(2)</u>	<u>(2)</u>	Common Stock	1,069
Stock Option	\$ 3.5					<u>(3)</u>	<u>(3)</u>	Common Stock	1,425
Stock Option	\$ 3.92					<u>(4)</u>	<u>(4)</u>	Common Stock	2,138
Stock Option	\$ 4.21					<u>(5)</u>	<u>(5)</u>	Common Stock	2,851
Stock Option	\$ 8.6					<u>(1)</u>	01/09/2018	Common Stock	2,376
Stock Option	\$ 4.92					<u>(6)</u>	<u>(6)</u>	Common Stock	3,564
Stock Option	\$ 5.33					<u>(7)</u>	<u>(7)</u>	Common Stock	3,564

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
LIEBLONG ALEX R P.O. BOX 966 CONWAY, AR 72033		X		

Signatures

/s/Alex R. Lieblong by Rachel Wesson 05/02/2014

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The option is exercisable in five equal annual installments and became exercisable on January 10, 2009.

(2) The option is exercisable in five equal annual installments. The first installment became exercisable on December 31, 2000. The option expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2000 and expires on December 31, 2010.

(3) The option is exercisable in five equal annual installments. The first installment became exercisable on December 31, 2001. The option expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2001 and expires on December 31, 2011.

(4) The option is exercisable in five equal annual installments. The first installment became exercisable on December 31, 2002. The option expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2002 and expires on December 31, 2012.

(5) The option is exercisable in five equal annual installments. The first installment became exercisable on December 31, 2003. The option expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2003 and expires on December 31, 2013.

(6) The option is exercisable in five equal annual installments. The first installment became exercisable on December 31, 2004. The option expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2004 and expires on December 31, 2014.

(7) The option is exercisable in five equal annual installments. The first installment became exercisable on December 31, 2005. The option expires 10 years from the exercisable date, therefore the first installment became exercisable on December 31, 2005 and expires on December 31, 2015.

(8) Restricted Stock granted on April 21, 2011 will vest in 33 1/3% installments over three years each April 21st.

(9) Restricted Stock granted on January 18, 2013 will vest in 33 1/3% installments over three years each January 18th.

(10) The reporting person had 1,333 shares vest since the last filing.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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