## Edgar Filing: ACURA PHARMACEUTICALS, INC - Form 4

ACURA PHARMACEUTICALS, INC Form 4 December 13, 2013 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB 3235-0287 Washington, D.C. 20549 Number: Check this box January 31, Expires: if no longer 2005 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF subject to Estimated average **SECURITIES** Section 16. burden hours per Form 4 or response... 0.5 Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. 30(h) of the Investment Company Act of 1940 See Instruction 1(b). (Print or Type Responses) 1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading **CLEMENS PETER A** Issuer Symbol ACURA PHARMACEUTICALS, (Check all applicable) INC [ACUR] (Last) (First) (Middle) 3. Date of Earliest Transaction Director 10% Owner X\_Officer (give title Other (specify (Month/Day/Year) below) below) C/O ACURA 12/12/2013 Senior VP and CFO PHARMACEUTICALS, INC., 616 N. NORTH COURT, SUITE 120 (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting PALATINE, IL 60067 Person (City) (State) (Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of 6. Ownership 7. Nature of Form: Direct Indirect Security (Month/Day/Year) Execution Date, if TransactionAcquired (A) or Securities (Instr. 3) Code Disposed of (D) Beneficially (D) or Indirect Beneficial anv (Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned (I) Ownership Following (Instr. 4) (Instr. 4) Reported (A) Transaction(s) or (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transactio<br>Code<br>(Instr. 8) | 5. Number of<br>orDerivative<br>Securities<br>Acquired (A)<br>or Disposed of<br>(D)<br>(Instr. 3, 4,<br>and 5) | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of<br>Underlying Securities<br>(Instr. 3 and 4) |                                     |  |  |  |
|---|---|---|---|--|--|--|--------------------|---|-------------------------------------|--|--|--|
|   |   |   |   | Code V                                 | (A) (D)  | Date<br>Exercisable  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |  |  |  |
| Stock<br>Options                                    | \$ 1.55 <u>(1)</u>  | 12/12/2013                              |   | А                                      | 75,000   | (2)  | 12/11/2023         | Common<br>Stock   | 75,000                              |  |  |  |
| Reporting Owners                                    |   |   |   |  |  |  |                    |   |                                     |  |  |  |

| <b>Reporting Owner Name / Address</b>  |          | Relationships |                   |       |  |  |  |
|--|----------|---------------|-------------------|-------|--|--|--|
|  | Director | 10% Owner     | Officer           | Other |  |  |  |
| CLEMENS PETER A<br>C/O ACURA PHARMACEUTICALS,<br>616 N. NORTH COURT, SUITE 120<br>PALATINE, IL 60067 | INC.     |               | Senior VP and CFO |       |  |  |  |
| Signatures   |          |               |                   |       |  |  |  |
| /s/ Peter A. 12/13/2013<br>Clemens   | 3        |               |                   |       |  |  |  |

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Exercise price is closing price of Issuer's common stock on December 12, 2013.
- (2) one-twenty-fourth of shares underlying options vest and are exercisable on the last day of each month commencing December 31, 2013 and ending on November 30, 2015.
- (3) Not Applicable.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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