

ION GEOPHYSICAL CORP

Form 3

June 04, 2013

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â BATE STEVEN

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

05/29/2013

3. Issuer Name and Ticker or Trading Symbol

ION GEOPHYSICAL CORP [IO]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

SVP Systems Division

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting Person☐ Form filed by More than One Reporting Person2105 CITYWEST
BOULEVARD,Â SUITE 400

(Street)

HOUSTON,Â TXÂ 77042-2839

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned1. Title of Security
(Instr. 4)2. Amount of Securities Beneficially Owned
(Instr. 4)3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Common Stock

22,637

D

Â

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)1. Title of Derivative Security
(Instr. 4)2. Date Exercisable and Expiration Date
(Month/Day/Year)3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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	Date Exercisable	Expiration Date		Amount or Number of Shares		or Indirect (I) (Instr. 5)	
Employee Stock Option (right to buy)	03/24/2010	06/29/2013	Common Stock	40,000	\$ 4.58	D	Â
Employee Stock Option (right to buy)	03/24/2010	06/29/2013	Common Stock	25,000	\$ 2.83	D	Â
Employee Stock Option (right to buy)	Â <u>(1)</u>	06/29/2013	Common Stock	12,000	\$ 15.43	D	Â
Employee Stock Option (right to buy)	Â <u>(2)</u>	06/29/2013	Common Stock	10,000	\$ 9.97	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BATE STEVEN 2105 CITYWEST BOULEVARD SUITE 400 HOUSTON, TX 77042-2839	Â	Â	Â SVP Systems Division	Â

Signatures

Rebecca F. Sacco,
attorney-in-fact

06/04/2013

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The option became exercisable as follows: 25% on 12/01/2008, 25% on 12/01/2009 and 50% on 03/24/2010.

(2) The option became exercisable as follows: 25% on 09/01/2007, 25% on 09/01/2008, 25% on 09/01/2009 and 25% on 03/24/2010.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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