#### **HEALTHSTREAM INC**

Form 4

March 04, 2013

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

| 1. Name and Address of Reporting Person * STEAD WILLIAM |         |          | 2. Issuer Name <b>and</b> Ticker or Trading<br>Symbol<br>HEALTHSTREAM INC [HSTM] | 5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)                             |  |  |
|---|---------|----------|--|--|--|--|
| (Last)  | (First) | (Middle) | 3. Date of Earliest Transaction  | (Check an applicable)  |  |  |
| 211 WILSONIA DRIVE                                      |         |          | (Month/Day/Year)<br>03/01/2013   | X Director 10% Owner Officer (give title below) Other (specify below)                                |  |  |
| (Street)  |         |          | 4. If Amendment, Date Original   | 6. Individual or Joint/Group Filing(Check  |  |  |
| NASHVILLE, TN 37205                                     |         |          | Filed(Month/Day/Year)  | Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |

| (City)                               | (State)                                 | (Zip) Tab   | ole I - Non-    | Derivative   | Secu | rities Acqui  | red, Disposed of,  | or Beneficiall   | y Owned   |
|--------------------------------------|---|---|-----------------|--------------|------|---------------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code (Instr. 8) | (A)<br>or    |      |               | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
| Common<br>Stock                      | 03/01/2013                              |   | Code V M        | Amount 5,000 | (D)  | Price \$ 1.54 | 23,700   | D  |   |
| Common<br>Stock                      | 03/01/2013                              |   | M               | 5,000        | A    | \$ 2.17       | 28,700   | D  |   |
| Common<br>Stock                      | 03/01/2013                              |   | M               | 5,000        | A    | \$ 2.88       | 33,700   | D  |   |
| Common<br>Stock                      | 03/01/2013                              |   | M               | 5,000        | A    | \$ 3.36       | 38,700   | D  |   |
| Common<br>Stock                      | 03/01/2013                              |   | M               | 6,000        | A    | \$ 3.53       | 44,700   | D  |   |
|                                      | 03/01/2013                              |   | M               | 6,000        | A    | \$ 2.99       | 50,700   | D  |   |

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| Common<br>Stock |            |   |        |   |                      |        |   |
|-----------------|------------|---|--------|---|----------------------|--------|---|
| Common<br>Stock | 03/01/2013 | M | 10,000 | A | \$ 2.45              | 60,700 | D |
| Common<br>Stock | 03/01/2013 | M | 10,000 | A | \$ 4.66              | 70,700 | D |
| Common<br>Stock | 03/01/2013 | S | 52,000 | D | \$<br>21.8138<br>(1) | 18,700 | D |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | Code   | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |  |
|---|---|--------------------------------------|---|--------|---|--|--------------------|---|--|
|   |   |                                      |   | Code V | (A) (D)   | Date<br>Exercisable                                      | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 1.54   | 03/01/2013                           |   | M      | 5,000   | 05/30/2003   | 05/30/2013         | Common<br>Stock   | 5,000                                  |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 2.17   | 03/01/2013                           |   | M      | 5,000   | 05/27/2004   | 05/27/2014         | Common<br>Stock   | 5,000                                  |
| Employee<br>Stock<br>Option<br>(right to<br>buy)    | \$ 2.88   | 03/01/2013                           |   | M      | 5,000   | 05/26/2005   | 05/26/2015         | Common<br>Stock   | 5,000                                  |
|   | \$ 3.36   | 03/01/2013                           |   | M      | 5,000   | 05/25/2006   | 05/25/2016         |   | 5,000                                  |

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| Employee<br>Stock<br>Option<br>(right to<br>buy) |         |            |   |       |            |            | Common<br>Stock |       |
|--|---------|------------|---|-------|------------|------------|-----------------|-------|
| Employee<br>Stock<br>Option<br>(right to<br>buy) | \$ 3.53 | 03/01/2013 | M | 6,000 | 05/24/2007 | 05/24/2017 | Common<br>Stock | 6,000 |
| Employee<br>Stock<br>Option<br>(right to<br>buy) | \$ 2.99 | 03/01/2013 | M | 6,000 | 05/29/2008 | 05/29/2018 | Common<br>Stock | 6,000 |
| Employee<br>Stock<br>Option<br>(right to<br>buy) | \$ 2.45 | 03/01/2013 | M | 5,000 | 05/28/2010 | 05/28/2017 | Common<br>Stock | 5,000 |
| Employee<br>Stock<br>Option<br>(right to<br>buy) | \$ 2.45 | 03/01/2013 | M | 5,000 | 05/28/2011 | 05/28/2017 | Common<br>Stock | 5,000 |
| Employee<br>Stock<br>Option<br>(right to<br>buy) | \$ 4.66 | 03/01/2013 | M | 5,000 | 06/28/2011 | 06/28/2018 | Common<br>Stock | 5,000 |
| Employee<br>Stock<br>Option<br>(right to<br>buy) | \$ 4.66 | 03/01/2013 | M | 5,000 | 06/28/2012 | 06/28/2018 | Common<br>Stock | 5,000 |

# **Reporting Owners**

| Reporting Owner Name / Address | Relationships |           |         |       |  |  |  |
|--------------------------------|---------------|-----------|---------|-------|--|--|--|
|                                | Director      | 10% Owner | Officer | Other |  |  |  |
| STEAD WILLIAM                  |               |           |         |       |  |  |  |
| 211 WILSONIA DRIVE             | X             |           |         |       |  |  |  |
| NASHVILLE, TN 37205            |               |           |         |       |  |  |  |

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### **Signatures**

William Stead 03/04/2013

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This transaction was executed in multiple trades at prices ranging from \$21.56 to \$22.05. The price reported above reflects the weighted average purchase price. The reporting person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer full information regarding the number of shares and prices at which the transactions were effected.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 4