Edgar Filing: FROST PHILLIP MD ET AL - Form 4

FROST PHILLIP MD ET AL Form 4 August 18, 2011 FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section							OMB Number: Expires: Estimated a burden hou response			
<i>See</i> Instruction 30(h) of the Investment Company Act of 1940 1(b).										
(Print or Type F	Responses)									
	ddress of Reporting Person <u>*</u> LLIP MD ET AL	2. Issuer Na Symbol Opko Heal			Tradir	ıg	5. Relationship of Issuer			
(Last)	(First) (Middle)	•	3. Date of Earliest Transaction				(Check all applicable)			
OPKO HEA BISCAYNE	(Month/Day/ 08/17/2011					X DirectorX 10% Owner X Officer (give title Other (specify below) below) CEO & Chairman				
(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)						 6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person _X_ Form filed by More than One Reporting 				
Person										
(City) 1.Title of	(State) (Zip) 2. Transaction Date 2A. De						uired, Disposed of		-	
I. Hile of Security (Instr. 3)	(Month/Day/Year) Execut any	ion Date, if Tr Ca /Day/Year) (In	ransaction ode instr. 8)	4. Securities Acquired tion(A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common		C	ode V	Amount	(D)	Price	. ,		See	
Common Stock	08/17/2011		Р	1,500	А	\$ 3.78	105,500,965	Ι	Footnote (1)	
Common Stock	08/17/2011		Р	1,000	Α	\$ 3.8	105,501,965	I	See Footnote	
Common Stock	08/17/2011		Р	1,394	А	\$ 3.81	105,503,359	I	See Footnote	
Common Stock	08/17/2011		Р	1,606	А	\$ 3.82	105,504,965	Ι	See Footnote	

								(1)
Common Stock	08/17/2011	Р	500	А	\$ 3.85	105,505,465	I	See Footnote (1)
Common Stock	08/17/2011	Р	500	А	\$ 3.86	105,505,965	Ι	See Footnote (1)
Common Stock	08/17/2011	Р	1,500	А	\$ 3.87	105,507,465	Ι	See Footnote (1)
Common Stock	08/17/2011	Р	600	А	\$ 3.88	105,508,065	Ι	See Footnote (1)
Common Stock	08/17/2011	Р	1,400	А	\$ 3.89	105,509,465	I	See Footnote (1)
Common Stock	08/17/2011	Р	2,000	А	\$ 3.9	105,511,465	Ι	See Footnote (1)
Common Stock	08/17/2011	Р	500	А	\$ 3.91	105,511,965	Ι	See Footnote (1)
Common Stock	08/17/2011	Р	2,500	А	\$ 3.92	105,514,465	Ι	See Footnote (1)
Common Stock						15,490,546	I	See Footnote (2)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration Date	Amount of	Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	Secu
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivativ	e	Securities	(Instr. 5)	Bene
	Derivative				Securities		(Instr. 3 and 4)		Owne
	Security				Acquired				Follo
					(A) or				Repo
					Disposed				Trans
					of (D)				(Instr

(1)

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(Instr. 3, 4, and 5)

				Amount
	Date Exercisable	Expiration Date	Title	
Code V (A) (D)				of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
FROST PHILLIP MD ET AL OPKO HEALTH, INC. 4400 BISCAYNE BLVD. MIAMI, FL 33137	X	Х	CEO & Chairman				
Frost Gamma Investments Trust 4400 BISCAYNE BLVD. MIAMI, FL 33137		Х					
Signatures							
Phillip Frost, M.D., Individually a Trustee	and as	08	8/18/2011				
<u>**</u> Signature of Reporting Person			Date				

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The securities are held by Frost Gamma Investments Trust, of which Phillip Frost M.D., is the trustee. Frost Gamma L.P. is the sole and exclusive beneficiary of Frost Gamma Investments Trust. Dr. Frost is one of two limited partners of Frost Gamma L.P. The general

(1) partner of Frost Gamma L.P. is Frost Gamma, Inc., and the sole shareholder of Frost Gamma, Inc. is Frost-Nevada Corporation. Dr. Frost is also the sole shareholder of Frost-Nevada Corporation. The reporting person disclaims beneficial ownership of these securities, except to the extent of any pecuniary interest therein and this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

These securities are owned directly by The Frost Group, LLC. Frost Gamma Investments Trust is a principal member of The Frost Group, LLC. The reporting person disclaims beneficial ownership of these securities, except to the extent of any pecuniary interest therein and

(2) The reporting person distants concretation whersing of these securities, except to the extent of any peculiary interest diotent and this report shall not be deemed an admission that the reporting person is the beneficial owner of these securities for purposes of Section 16 or for any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.