

SCHATZ DOUGLAS S

Form 4

February 06, 2009

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
SCHATZ DOUGLAS S & SCHATZ
JILL E FAMILY TRUST

(Last) (First) (Middle)

PO BOX 481

(Street)

FORT COLLINS, CO 80522

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
ADVANCED ENERGY
INDUSTRIES INC [AEIS]

3. Date of Earliest Transaction
(Month/Day/Year)
02/04/2009

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____X____ 10% Owner
____ Officer (give title below) ____ Other (specify below)

6. Individual or Joint/Group Filing(Check
Applicable Line)
____ Form filed by One Reporting Person
X Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	02/04/2009		S	1,000 D \$ 9.03	8,674,235 ⁽¹⁾ (2)	D	
Common Stock	02/04/2009		S	200 D \$ 9.04	8,674,035 ⁽¹⁾ (2)	D	
Common Stock	02/04/2009		S	2,800 D \$ 9.05	8,671,235 ⁽¹⁾ (2)	D	
Common Stock	02/04/2009		S	100 D \$ 9.16	8,671,135 ⁽¹⁾ (2)	D	
Common Stock	02/04/2009		S	400 D \$ 9.22	8,670,735 ⁽¹⁾ (2)	D	

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Common Stock	02/04/2009	S	200	D	\$ 9.23	8,670,535 ⁽¹⁾ <u>(2)</u>	D
Common Stock	02/04/2009	S	200	D	\$ 9.24	8,670,335 ⁽¹⁾ <u>(2)</u>	D
Common Stock	02/04/2009	S	635	D	\$ 9.25	8,669,700 ⁽¹⁾ <u>(2)</u>	D
Common Stock	02/04/2009	S	465	D	\$ 9.26	8,669,235 ⁽¹⁾ <u>(2)</u>	D
Common Stock	02/04/2009	S	1,000	D	\$ 9	8,668,235 ⁽¹⁾ <u>(2)</u>	D
Common Stock	02/04/2009	S	200	D	\$ 9.02	8,668,035 ⁽¹⁾ <u>(2)</u>	D
Common Stock	02/04/2009	S	200	D	\$ 9.03	8,667,835 ⁽¹⁾ <u>(2)</u>	D
Common Stock	02/04/2009	S	500	D	\$ 9.05	8,667,335 ⁽¹⁾ <u>(2)</u>	D
Common Stock	02/04/2009	S	400	D	\$ 9.07	8,666,935 ⁽¹⁾ <u>(2)</u>	D
Common Stock	02/04/2009	S	200	D	\$ 9.09	8,666,735 ⁽¹⁾ <u>(2)</u>	D
Common Stock	02/04/2009	S	700	D	\$ 9.1	8,666,035 ⁽¹⁾ <u>(2)</u>	D
Common Stock	02/04/2009	S	200	D	\$ 9.12	8,665,835 ⁽¹⁾ <u>(2)</u>	D
Common Stock	02/04/2009	S	400	D	\$ 9.15	8,665,435 ⁽¹⁾ <u>(2)</u>	D
Common Stock	02/04/2009	S	200	D	\$ 9.16	8,665,235 ⁽¹⁾ <u>(2)</u>	D
Common Stock	02/04/2009	S	500	D	\$ 9.17	8,664,735 ⁽¹⁾ <u>(2)</u>	D
Common Stock	02/04/2009	S	500	D	\$ 9.19	8,664,235 ⁽¹⁾ <u>(2)</u>	D
Common Stock	02/04/2009	S	500	D	\$ 9.2	8,663,735 ⁽¹⁾ <u>(2)</u>	D
Common Stock	02/04/2009	S	1,600	D	\$ 9.22	8,662,135 ⁽¹⁾ <u>(2)</u>	D
Common Stock	02/04/2009	S	500	D	\$ 9.2236	8,661,635 ⁽¹⁾ <u>(2)</u>	D
Common Stock	02/04/2009	S	200	D	\$ 9.24	8,661,435 ⁽¹⁾ <u>(2)</u>	D
	02/04/2009	S	1,600	D	\$ 9.25		D

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Common Stock						8,659,835 ⁽¹⁾ <u>(2)</u>	
Common Stock	02/04/2009	S	1,400	D	\$ 9.27	8,658,435 ⁽¹⁾ <u>(2)</u>	D
Common Stock	02/04/2009	S	500	D	\$ 9.28	8,657,935 ⁽¹⁾ <u>(2)</u>	D
Common Stock	02/04/2009	S	100	D	\$ 9.29	8,657,835 ⁽¹⁾ <u>(2)</u>	D
Common Stock						26,350 ⁽³⁾	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Report Trans (Instr.
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships
	Director 10% Owner Officer Other
SCHATZ DOUGLAS S & SCHATZ JILL E FAMILY TRUST PO BOX 481 FORT COLLINS, CO 80522	X
Schatz Jill E 1625 SHARP POINT DRIVE FORT COLLINS, CO 80525	X
	X

SCHATZ DOUGLAS S
1625 SHARP POINT DRIVE
FORT COLLINS, CO 80525

Signatures

/s/ John D. Pirnot Attorney-in-Fact	02/06/2009
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__Signature of Reporting Person	Date
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John D. Pirnot as Attorney-in-Fact	02/06/2009
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__Signature of Reporting Person	Date
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John D. Pirnot Attorney-in-Fact	02/06/2009
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__Signature of Reporting Person	Date
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Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) The sales reported in this Form 4 were effected pursuant to Rule 10b5-1 trading plan adopted by the reporting persons on November 26, 2008.
- (2) These shares are owned directly by Douglas S. Schatz & Jill E. Schatz Family Trust, a ten percent owner of the issuer, and indirectly by Douglas S. Schatz and Jill E. Schatz, co-trustees of the trust.
- (3) Represents shares of restricted stock units held directly by Douglas S. Schatz, who is a director of the Issuer

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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