

MCDONALD R BRUCE  
Form 4  
October 02, 2007

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

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**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
MCDONALD R BRUCE

(Last) (First) (Middle)  
5757 N. GREEN BAY AVENUE, P.O. BOX 591  
(Street)

MILWAUKEE, WI 53201-0591

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
JOHNSON CONTROLS INC [JCI]

3. Date of Earliest Transaction  
(Month/Day/Year)  
10/01/2007

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)  
Exec Vice President & CFO

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|-----------------------------------|
| Common Stock                    |                                      |  |                                | (A) or (D) Price  | 4,120.1402 (1) I  | I  | By 401(k) Plan - Common           |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

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| 1. Title of Derivative Security (Instr. 3)       | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Underlying (Instr. 3 and 4) |              |
|--|--|--------------------------------------|--|--------------------------------|---|--|--|--------------|
|  |  |                                      |  | Code                           | V (A) (D)   | Date Exercisable   | Expiration Date                          | Title        |
| Phantom Stock Units/Long-Term Incentive Plan     | (2)  |                                      |  |                                |   | (3)  | (3)                                      | Common Stock |
| Phantom Stock Units / Excess Benefit Plan-Common | (2)  |                                      |  |                                |   | (5)  | (5)                                      | Common Stock |
| Phantom Stock Units/Restricted Stock Grant       | (7)  |                                      |  |                                |   | (7)  | (7)                                      | Common Stock |
| Stock Option                                     | \$ 40.06   |                                      |  |                                |   | 11/26/2003   | 11/26/2011                               | Common Stock |
| Stock Option                                     | \$ 40.2975   |                                      |  |                                |   | 11/20/2004   | 11/20/2012                               | Common Stock |
| Stock Option                                     | \$ 52.55   |                                      |  |                                |   | 11/19/2005   | 11/19/2013                               | Common Stock |
| Stock Option                                     | \$ 61.69   |                                      |  |                                |   | 11/17/2006 <sup>(9)</sup>                                | 11/17/2014                               | Common Stock |
| Stock Option                                     | \$ 67.685  |                                      |  |                                |   | 11/16/2007 <sup>(9)</sup>                                | 11/16/2015                               | Common Stock |
| Stock Option                                     | \$ 71.895  |                                      |  |                                |   | 10/02/2008 <sup>(9)</sup>                                | 10/02/2016                               | Common Stock |
| Stock Option                                     | \$ 120.63  | 10/01/2007                           |  | A                              | 40,000  | 10/01/2009 <sup>(9)</sup>                                | 10/01/2017                               | Common Stock |

## Reporting Owners

| Reporting Owner Name / Address   | Relationships |           |                                 |       |
|--|---------------|-----------|---------------------------------|-------|
|  | Director      | 10% Owner | Officer                         | Other |
| MCDONALD R BRUCE<br>5757 N. GREEN BAY AVENUE<br>P.O. BOX 591<br>MILWAUKEE, WI 53201-0591 |               |           | Exec Vice<br>President &<br>CFO |       |

## Signatures

Arlene D. Gumm Attorney-In-Fact for R. Bruce  
McDonald

10/02/2007

\_\_Signature of Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The number of underlying securities is based on the stock fund balance on October 1, 2007. The actual number of shares issuable upon the distribution date is not determinable since the stock fund is a unitized account consisting of 96% company stock and 4% money market fund. The stock account balance reflected in this report is based on a October 1, 2007, stock fund price of \$120.63 per share.

(2) Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. Shares of phantom stock are payable in cash following the reporting person's termination of employment with the company and may be transferred by the reporting person into an alternative investment account at any time.

(3) The phantom stock units were accrued under the Johnson Controls Long-Term Incentive Plan and are to be settled 100% in cash upon the reporting person's termination of employment with the company.

(4) Includes 144.112 phantom stock units acquired through reinvestment of dividends on March 30, and June 29, 2007, at prices ranging from \$94.62 to \$115.77 per phantom unit.

(5) Phantom stock units accrued under the Johnson Controls Equalization 401(k) Benefit Plan are to be settled 100% in cash upon the reporting person's termination of employment with the company. Phantom stock units accrue in the plan through monthly payroll deductions and the plan mirrors the company's broad-based 401(k) Plan.

(6) Includes 168.777 phantom stock units acquired through a company match, payroll deductions and the reinvestment of dividends on March 30, and June 29, 2007, at prices ranging from \$94.62 to \$115.77 per phantom unit.

(7) Each share of phantom stock is the economic equivalent of one share of Johnson Controls common stock. The restricted stock units were awarded under the Johnson Controls Executive Deferred Restricted Plan. The units are to be settled 100% in cash upon the reporting person's termination of employment with the company, subject to vesting provisions.

(8) Includes 192.513 phantom stock units acquired through reinvestment of dividends on March 30, and June 29, 2007, at prices ranging from \$94.62 to \$115.77 per phantom unit.

(9) Fifty percent of the options become exercisable two years after the grant date; the remaining 50%, three years after grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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