

WISE DAVID
Form 3
April 09, 2019

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2005
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|---|---|--|--|---|--|
| <p>1. Name and Address of Reporting Person *</p> <p>Â WISE DAVID</p> <p>(Last) (First) (Middle)</p> <p>5775 MOREHOUSE DR.</p> <p>(Street)</p> <p>SAN DIEGO,Â CAÂ 92121-1714</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>04/02/2019</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>QUALCOMM INC/DE [QCOM]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner</p> <p><input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other</p> <p>(give title below) (specify below)</p> <p>Interim CFO</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person</p> <p><input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|---|---|--|--|---|--|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 17,293 | D | Â |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly. SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security (Instr. 4) | 4. Conversion or Exercise Price of Derivative Security | 5. Ownership Form of Derivative Security: Direct (D) or Indirect | 6. Nature of Indirect Beneficial Ownership (Instr. 5) |
|---|---|--|--|--|--|
| | Date Exercisable | Title | Amount or Number of | | |

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| | | | | Shares | | (I) (Instr. 5) | |
|----------------------------------|--------------|-----------------------|-----------------|-------------|---------------|-------------------|--------------------------------|
| Phantom Stock Unit <u>(1)</u> | Â <u>(2)</u> | Â <u>(3)</u> | Common Stock | 5,826.1089 | \$ 0 | I | by Grantor Trust <u>(1)</u> |
| Restricted Stock Unit | Â <u>(4)</u> | 11/20/2019 <u>(4)</u> | Common Stock | 3,231.1936 | \$ <u>(5)</u> | D | Â |
| Restricted Stock Unit | Â <u>(6)</u> | 11/20/2020 <u>(6)</u> | Common Stock | 6,125.9164 | \$ <u>(5)</u> | D | Â |
| Restricted Stock Unit | Â <u>(7)</u> | 02/20/2021 <u>(7)</u> | Common Stock | 1,645.7008 | \$ <u>(5)</u> | D | Â |
| Restricted Stock Unit | Â <u>(8)</u> | 11/20/2021 <u>(8)</u> | Common Stock | 11,376.8072 | \$ <u>(5)</u> | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|---------|-----------------|
| | Director | 10% Owner | Officer | Other |
| WISE DAVID 5775 MOREHOUSE DR. SAN DIEGO, CA 92121-1714 | | Â | Â | Â Interim CFO Â |

Signatures

By: David Zuckerman, Attorney-in-Fact For: David Wise 04/09/2019

 Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The common stock issued under the terms of the Company's Non-Qualified Deferred Compensation Plan, a tax conditioned plan, is exempt under Rule 16b-3. The shares are held in a grantor trust and stock is the only permissible form of distribution under the Plan.
- (2) The rights awarded under the Company's Non-Qualified Deferred Compensation Plan will be eligible for distribution upon termination and vest 100% after 2 years of continuous service with the Company.
- (3) The rights awarded under the Company's Non-Qualified Deferred Compensation Plan will be eligible for distribution upon termination.
- (4) The Restricted Stock Units (and allocable dividend equivalents) vested one-third on November 20, 2017, and the remaining balance vests semi-annually thereafter.
- (5) Each Restricted Stock Unit is the economic equivalent of one share of Qualcomm common stock and is converted into common stock upon vesting.
- (6) The Restricted Stock Units (and allocable dividend equivalents) vested one-third on November 20, 2018, and the remaining balance vests semi-annually thereafter.
- (7) The Restricted Stock Units (and allocable dividend equivalents) vest one-third on February 20, 2019, and the remaining balance vests semi-annually thereafter.
- (8) The Restricted Stock Units (and allocable dividend equivalents) vest one-third on November 20, 2019, and the remaining balance vests semi-annually thereafter.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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