#### **BROOKS DAVID H**

Check this box

if no longer

Section 16.

Form 4 or

obligations

may continue.

See Instruction

Form 5

subject to

Form 4

October 30, 2006

### FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**OMB** Number:

**OMB APPROVAL** 

3235-0287

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

**SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Stock

Stock

Stock

Common

Common

(Print or Type Responses)

1. Name and Address of Reporting Person ** BROOKS DAVID H			2. Issuer Name and Ticker or Trading Symbol S Y BANCORP INC [SYBT]				5. Relationship of Reporting Person(s) to Issuer			
						J	(0	Check all appl	icable)	
(Last)	(First)	(Middle) 3. D	ate of Earliest	Transactio	n					
			nth/Day/Year)				_X_ Director		_ 10% Owner	
4010 FOX MEADOW WAY			10/26/2006				below)	give titlebelow	Other (specify w)	
	(Street)	4. If	4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
DDOSDEC	T, KY 40059		d(Month/Day/Yo	_			Applicable Line _X_ Form filed Form filed	e) by One Report	ing Person	
PROSPEC	1, K1 40039						Person	•		
(City)	(State)	(Zip)	Table I - Non	-Derivati	ve Sec	urities A	cquired, Dispose	ed of, or Bene	eficially Owned	
1.Title of	2. Transaction Date	2A. Deemed	3.	4. Securi	ties A	cquired	5. Amount of	6.	7. Nature of	
Security	(Month/Day/Year)	Execution Date,	if Transaction	on(A) or Di		-	Securities	Ownership	Indirect Beneficial	
(Instr. 3)		any	Code	(Instr. 3,	4 and	5)	Beneficially	Form:	Ownership	
		(Month/Day/Yea	ar) (Instr. 8)				Owned	Direct (D)	(Instr. 4)	
							Following	or Indirect		
					(A)		Reported	(I)		
					or		Transaction(s) (Instr. 3 and 4)	(Instr. 4)		
			Code V	Amount	(D)	Price	(1118ti. 3 and 4)			
Common	10/26/2006		S	2,000	D	\$	74,470	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control

52,867

1,178.24

I

Ι

29.03

SEC 1474 (9-02)

By Spouse

Plan

**Trust-Directors** 

Deferred Comp

### Edgar Filing: BROOKS DAVID H - Form 4

#### number.

8. Pri Deriv Secur (Instr

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercis Expiration Date (Month/Day/Y	e	7. Title and A Underlying S (Instr. 3 and 4	Securities	8 I S (
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Option (Right to Buy)	\$ 16					06/27/2002	12/27/2011	Common Stock	25,200	
Option (Right to Buy)	\$ 18.619					06/17/2003	12/17/2012	Common Stock	19,530	
Option (Right to Buy)	\$ 20.1714					06/16/2004	12/16/2013	Common Stock	17,535	

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
r g	Director	10% Owner	Officer	Other			
BROOKS DAVID H 4010 FOX MEADOW WAY PROSPECT, KY 40059	X						

# **Signatures**

//David H.
Brooks

10/30/2006

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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