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CARNIVAL CORP Form 8-K April 13, 2016

#### **UNITED STATES**

#### SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

#### FORM 8-K

#### **CURRENT REPORT**

**PURSUANT TO SECTION 13 OR 15(d)** 

OF THE SECURITIES EXCHANGE ACT OF 1934

Date of Report (date of earliest event reported) April 7, 2016

Carnival Corporation (Exact name of registrant as specified in its charter)

Carnival plc (Exact name of registrant as specified in its charter)

Republic of Panama (State or other jurisdiction of incorporation)

**England and Wales** (State or other jurisdiction of incorporation)

001-9610 001-15136

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(Commission File Number)

(Commission File Number)

59-1562976 (I.R.S. Employer Identification No.)

98-0357772 (I.R.S. Employer Identification No.)

3655 N.W. 87th Avenue Miami, Florida 33178-2428 (Address of principal executive offices) (Zip code) Carnival House, 100 Harbour Parade, Southampton SO15 1ST, United Kingdom (Address of principal executive offices) (Zip code)

(305) 599-2600

011 44 23 8065 5000

(Registrant s telephone number, including area code) (Registrant s telephone number, including area code)

None (Former name or former address, if changed since last report.) None (Former name or former address, if changed since last report.)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- " Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- " Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- " Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

# Item 5.02. Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

- (b) On April 7, 2016, Larry Freedman informed Carnival Corporation and Carnival plc of his decision to resign as Chief Accounting Officer and Controller of the Company effective April 13, 2016.
- (c) Effective April 14, 2016, David Bernstein, Chief Financial Officer of the Company, will assume the role of Chief Accounting Officer in addition to his current role as Chief Financial Officer. Mr. Bernstein has served as the Company s Chief Financial Officer since 2007. From 2003 to 2007, he was the Company s Treasurer. Mr. Bernstein s compensation shall not change as a result of this appointment. Mr. Bernstein has not been involved in any transactions that would require disclosure under Item 404(a) of Regulation S-K.

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, each of the registrants has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

### **Carnival Corporation**

## Carnival plc

By: /s/ Arnaldo Perez
Name: Arnaldo Perez
Name: Arnaldo Perez
Name: Arnaldo Perez

Title: General Counsel & Secretary Title: General Counsel & Company Secretary

Date: April 13, 2016 Date: April 13, 2016