

CINCINNATI BELL INC  
 Form 4  
 October 04, 2005

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
 Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
 Expires: January 31, 2005  
 Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
**MORRIS MICHAEL G**

(Last) (First) (Middle)  
 201 EAST FOURTH STREET  
 (Street)  
 CINCINNATI, OH 45202  
 (City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol  
**CINCINNATI BELL INC [CBB]**

3. Date of Earliest Transaction  
 (Month/Day/Year)  
**10/03/2005**

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director  10% Owner  
 Officer (give title below)  Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
 Form filed by More than One Reporting Person

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/03/2005		A	V 1,513.453 A	\$ 4.459 13,526.409	I	By Deferred Compensation Plan <sup>(1)</sup>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.**

SEC 1474 (9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**



Edgar Filing: CINCINNATI BELL INC - Form 4

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. d #000000; BORDER-BOTTOM:1px solid #000000"> Item Before correction After correction

2-3

II. Financial Soundness

(omitted)

Our Consolidated Capital Adequacy Ratio was 12.92%, an improvement of 2.37% from that as of March 31, 2009.

(omitted)

Our Consolidated Capital Adequacy Ratio was 12.91%, an improvement of 2.36% from that as of March 31, 2009.

2-3

Same as above

Table

Consolidated Capital Adequacy Ratio

September 30, 2009

12.92%

12.91%

Change from Mar. 31, 2009 2.37% 2.36%

2-3

Same as above

Table

Total Risk-based Capital

September 30, 2009

Explanation of Responses:

7,637.5

7,632.6

Change from Mar. 31, 2009 1,410.5 1,405.6

2-3

Same as above  
Table  
Tier 1 Capital

September 30, 2009

5,151.1

5,148.7

Change from Mar. 31, 2009 1,384.8 1,382.3

(\*) There is no change in Tier I Capital Ratio and Prime Capital Ratio of MHFG.

## [SELECTED FINANCIAL INFORMATION]

For the Second Quarter (First Half) of Fiscal 2009 (Six months ended September 30, 2009)

## P.3-19 I. FINANCIAL DATA FOR THE FIRST HALF OF FISCAL 2009

**9. Capital Adequacy Ratio**

Consolidated

**Mizuho Financial Group**

BIS Standard

**(Before correction)**

		(% , Billions of yen)		
		As of September 30, 2009		
		(Preliminary)	Change from March 31, 2009	As of March 31, 2009
(1)	Capital Adequacy Ratio	<u>12.92</u>	<u>2.37</u>	10.55
(2)	Tier 1 Capital	<u>5,151.1</u>	<u>1,384.8</u>	3,766.3
	Other	<u>(100.5)</u>	<u>(37.9)</u>	(62.6)
		(omitted)		
(4)	Deductions for Total Risk-based Capital	<u>247.0</u>	<u>(86.1)</u>	333.2
(5)	Total Risk-based Capital (2)+(3)-(4)	<u>7,637.5</u>	<u>1,410.5</u>	6,226.9
(6)	Risk-weighted Assets	<u>59,087.3</u>	<u>103.4</u>	58,983.9
	Credit Risk Assets	<u>53,787.3</u>	<u>(372.0)</u>	54,159.3
	On-balance-sheet Items	<u>44,005.5</u>	<u>443.9</u>	43,561.6
	Off-balance-sheet Items	<u>9,781.7</u>	<u>(815.9)</u>	10,597.7

**(After correction)**

		(% , Billions of yen)		
		As of September 30, 2009		
		(Preliminary)	Change from March 31, 2009	As of March 31, 2009
(1)	Capital Adequacy Ratio	<u>12.91</u>	<u>2.36</u>	10.55
(2)	Tier 1 Capital	<u>5,148.7</u>	<u>1,382.3</u>	3,766.3
	Other	<u>(103.0)</u>	<u>(40.3)</u>	(62.6)
		(omitted)		
(4)	Deductions for Total Risk-based Capital	<u>249.5</u>	<u>(83.7)</u>	333.2
(5)	Total Risk-based Capital (2)+(3)-(4)	<u>7,632.6</u>	<u>1,405.6</u>	6,226.9
(6)	Risk-weighted Assets	<u>59,102.3</u>	<u>118.3</u>	58,983.9
	Credit Risk Assets	<u>53,802.2</u>	<u>(357.0)</u>	54,159.3
	On-balance-sheet Items	<u>44,019.0</u>	<u>457.4</u>	43,561.6
	Off-balance-sheet Items	<u>9,783.1</u>	<u>(814.5)</u>	10,597.7

(\*) There is no change in Tier I Capital Ratio and Prime Capital Ratio of MHFG.

## [SELECTED FINANCIAL INFORMATION]

For the Second Quarter (First Half) of Fiscal 2009 (Six months ended September 30, 2009)

## P.3-20 I. FINANCIAL DATA FOR THE FIRST HALF OF FISCAL 2009

**9. Capital Adequacy Ratio**

Consolidated

**Mizuho Trust & Banking**

BIS Standard

**(Before correction)**

		(% , Billions of yen)		
		As of September 30, 2009		
		(Preliminary)	Change from March 31, 2009	As of March 31, 2009
(1)	Capital Adequacy Ratio	<u>14.06</u>	<u>0.67</u>	<u>13.39</u>
	Tier 1 Capital Ratio	<u>8.88</u>	<u>0.67</u>	8.21
(2)	Tier 1 Capital	<u>270.9</u>	<u>19.1</u>	<u>251.8</u>
(3)	Tier 2 Capital	<u>163.7</u>	<u>0.3</u>	<u>163.3</u>
(4)	Deductions for Total Risk-based Capital	<u>5.7</u>	<u>1.0</u>	<u>4.6</u>
(5)	Total Risk-based Capital (2)+(3)-(4)	<u>428.8</u>	<u>18.4</u>	<u>410.4</u>
(6)	Risk-weighted Assets	<u>3,048.4</u>	<u>(16.4)</u>	<u>3,064.9</u>

**(After correction)**

		(% , Billions of yen)		
		As of September 30, 2009		
		(Preliminary)	Change from March 31, 2009	As of March 31, 2009
(1)	Capital Adequacy Ratio	<u>13.84</u>	<u>0.47</u>	<u>13.37</u>
	Tier 1 Capital Ratio	<u>8.76</u>	<u>0.55</u>	8.21
(2)	Tier 1 Capital	<u>268.6</u>	<u>17.2</u>	<u>251.3</u>
(3)	Tier 2 Capital	<u>163.6</u>	<u>0.6</u>	<u>163.0</u>
(4)	Deductions for Total Risk-based Capital	<u>8.0</u>	<u>2.9</u>	<u>5.1</u>
(5)	Total Risk-based Capital (2)+(3)-(4)	<u>424.2</u>	<u>14.9</u>	<u>409.2</u>
(6)	Risk-weighted Assets	<u>3,065.0</u>	<u>4.9</u>	<u>3,060.0</u>

## [SELECTED FINANCIAL INFORMATION]

For the Second Quarter (First Half) of Fiscal 2009 (Six months ended September 30, 2009)

## P.3-41 III. DEFERRED TAXES

**1. Change in Deferred Tax Assets, etc.****(Before correction)****Consolidated**

(Reference)	As of September 30, 2009		As of March 31, 2009	(Billions of yen)	
	Change from March 31, 2009	Change from September 30, 2008		As of September 30, 2008	As of September 30, 2008
Tier I Capital (B)	<u>5,151.1</u>	<u>1,384.8</u>	<u>404.0</u>	3,766.3	4,747.0

**Non-Consolidated**

Mizuho Trust & Banking	As of September 30, 2009		As of March 31, 2009	(Billions of yen)	
	Change from March 31, 2009	Change from September 30, 2008		As of September 30, 2008	As of September 30, 2008
Net Deferred Tax Assets (C) (Reference)	35.5	(14.5)	(10.5)	50.1	46.0
Tier I Capital (D)	<u>269.1</u>	<u>20.6</u>	<u>(24.2)</u>	<u>248.4</u>	293.3
(C)/(D) (%)	<u>13.2</u>	<u>(6.9)</u>	<u>(2.5)</u>	<u>20.1</u>	15.7

**Aggregated Figures of the Three Banks**

(Reference)					
Tier I Capital (D) (After correction)	<u>5,204.2</u>	<u>1,447.6</u>	<u>473.5</u>	<u>3,756.6</u>	4,730.6

**Consolidated**

(Reference)	As of September 30, 2009		As of March 31, 2009	(Billions of yen)	
	Change from March 31, 2009	Change from September 30, 2008		As of September 30, 2008	As of September 30, 2008
Tier I Capital (B)	<u>5,148.7</u>	<u>1,382.3</u>	<u>401.6</u>	3,766.3	4,747.0

**Non-Consolidated**

Mizuho Trust & Banking	As of September 30, 2009		As of March 31, 2009	(Billions of yen)	
	Change from March 31, 2009	Change from September 30, 2008		As of September 30, 2008	As of September 30, 2008
Net Deferred Tax Assets (C) (Reference)	35.5	(14.5)	(10.5)	50.1	46.0
Tier I Capital (D)	<u>266.7</u>	<u>18.8</u>	<u>(26.5)</u>	<u>247.9</u>	293.3
(C)/(D) (%)	<u>13.3</u>	<u>(6.8)</u>	<u>(2.3)</u>	<u>20.2</u>	15.7

**Aggregated Figures of the Three Banks**

(Reference)

Explanation of Responses:

Edgar Filing: CINCINNATI BELL INC - Form 4

Tier I Capital (D)	<u>5,201.9</u>	<u>1,445.8</u>	<u>471.2</u>	<u>3,756.0</u>	4,730.6
--------------------	----------------	----------------	--------------	----------------	---------



[Capital Adequacy Ratio for the First Quarter of Fiscal 2009]

**Capital Adequacy Ratio****Mizuho Trust & Banking (BIS Standard)****<Before Correction>**

		Consolidated		Non-consolidated	
		As of	Change from	As of	As of
		June 30, 2009	March 31, 2009	March 31, 2009	June 30, 2009
(1)	Capital Adequacy Ratio	12.71	(0.68)	13.39	12.82
	Tier 1 Capital Ratio	8.17	(0.04)	8.21	8.21
(2)	Tier 1 Capital	259.3	7.5	251.8	256.9
(3)	Tier 2 Capital	152.1	(11.1)	163.3	151.5
(4)	Deductions for Total Risk-based Capital	7.9	3.2	4.6	7.5
(5)	Total Risk-based Capital (2)+(3)-(4)	403.5	(6.9)	410.4	400.9
(6)	Risk-weighted Assets	3,173.2	108.2	3,064.9	3,126.3
(7)	Total Required Capital (6)X8%	253.8	8.6	245.1	250.1

**<After correction>**

		Consolidated		Non-consolidated	
		As of	Change from	As of	As of
		June 30, 2009	March 31, 2009	March 31, 2009	June 30, 2009
(1)	Capital Adequacy Ratio	12.71	(0.66)	13.37	12.82
	Tier 1 Capital Ratio	8.17	(0.04)	8.21	8.21
(2)	Tier 1 Capital	259.3	8.0	251.3	256.9
(3)	Tier 2 Capital	152.1	(10.9)	163.0	151.5
(4)	Deductions for Total Risk-based Capital	7.9	2.8	5.1	7.5
(5)	Total Risk-based Capital (2)+(3)-(4)	403.5	(5.7)	409.2	400.9
(6)	Risk-weighted Assets	3,173.2	113.1	3,060.0	3,126.3
(7)	Total Required Capital (6)X8%	253.8	9.0	244.8	250.1

## [SELECTED FINANCIAL INFORMATION]

For Fiscal 2008

## P.3-20 I. FINANCIAL DATA FOR FISCAL 2008

**9. Capital Adequacy Ratio**

Consolidated

Mizuho Trust &amp; Banking

BIS Standard

**(Before correction)**

		As of March 31, 2009			(% , Billions of yen)	
		(Preliminary)	Change from September 30, 2008	Change from March 31, 2008	As of September 30, 2008	As of March 31, 2008
(1)	Capital Adequacy Ratio	<u>13.39</u>	<u>0.47</u>	<u>(2.48)</u>	12.92	15.87
	Tier 1 Capital Ratio	<u>8.21</u>	0.32	(1.95)	7.89	10.16
(2)	Tier 1 Capital	<u>251.8</u>	<u>(50.1)</u>	<u>(123.0)</u>	301.9	374.8
(3)	Tier 2 Capital	<u>163.3</u>	<u>(35.1)</u>	<u>(55.3)</u>	198.4	218.6
(4)	Deductions for Total Risk-based Capital	<u>4.6</u>	<u>(1.5)</u>	<u>(3.5)</u>	6.2	8.2
(5)	Total Risk-based Capital (2)+(3)-(4)	<u>410.4</u>	<u>(83.7)</u>	<u>(174.7)</u>	494.2	585.2
(6)	Risk-weighted Assets	<u>3,064.9</u>	<u>(759.0)</u>	<u>(622.4)</u>	3,823.9	3,687.3

**(After correction)**

		As of March 31, 2009			(% , Billions of yen)	
		(Preliminary)	Change from September 30, 2008	Change from March 31, 2008	As of September 30, 2008	As of March 31, 2008
(1)	Capital Adequacy Ratio	<u>13.37</u>	<u>0.45</u>	<u>(2.50)</u>	12.92	15.87
	Tier 1 Capital Ratio	<u>8.21</u>	0.32	(1.95)	7.89	10.16
(2)	Tier 1 Capital	<u>251.3</u>	<u>(50.6)</u>	<u>(123.4)</u>	301.9	374.8
(3)	Tier 2 Capital	<u>163.0</u>	<u>(35.3)</u>	<u>(55.5)</u>	198.4	218.6
(4)	Deductions for Total Risk-based Capital	<u>5.1</u>	<u>(1.0)</u>	<u>(3.0)</u>	6.2	8.2
(5)	Total Risk-based Capital (2)+(3)-(4)	<u>409.2</u>	<u>(84.9)</u>	<u>(175.9)</u>	494.2	585.2
(6)	Risk-weighted Assets	<u>3,060.0</u>	<u>(763.8)</u>	<u>(627.3)</u>	3,823.9	3,687.3

## [SELECTED FINANCIAL INFORMATION]

For Fiscal 2008

## P.3-38 III. DEFERRED TAXES

**1. Change in Deferred Tax Assets, etc.****(Before correction)**

Non-Consolidated

	As of March 31, 2009		(Billions of yen)	
	Change from September 30, 2008	Change from March 31, 2008	As of September 30, 2008	As of March 31, 2008
<b>Mizuho Trust &amp; Banking</b>				
Net Deferred Tax Assets (C) (Reference)	<b>50.1</b>	4.0	12.1	46.0
Tier I Capital (D)	<b>248.4</b>	(44.8)	(117.5)	293.3
(C)/(D) (%)	<b>20.1</b>	4.4	9.7	15.7

**Aggregated Figures of the Three Banks**

(Reference)

Tier I Capital (D)	<b>3,756.6</b>	(974.0)	(1,002.5)	4,730.6	4,759.1
--------------------	----------------	---------	-----------	---------	---------

**(After correction)**

Non-Consolidated

	As of March 31, 2009		(Billions of yen)	
	Change from September 30, 2008	Change from March 31, 2008	As of September 30, 2008	As of March 31, 2008
<b>Mizuho Trust &amp; Banking</b>				
Net Deferred Tax Assets (C) (Reference)	<b>50.1</b>	4.0	12.1	46.0
Tier I Capital (D)	<b>247.9</b>	(45.4)	(118.0)	293.3
(C)/(D) (%)	<b>20.2</b>	4.5	9.8	15.7

**Aggregated Figures of the Three Banks**

(Reference)

Tier I Capital (D)	<b>3,756.0</b>	(974.6)	(1,003.0)	4,730.6	4,759.1
--------------------	----------------	---------	-----------	---------	---------