Kober John Form 4 March 05, 2013

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

1. Name and Address of Reporting Person \* Kober John

(First)

2. Issuer Name and Ticker or Trading Symbol

CIRCOR INTERNATIONAL INC

[CIR]

(Middle) 3. Date of Earliest Transaction (Month/Day/Year)

30 CORPORATE DR., SUITE 200 03/01/2013

(Street)

(Zip)

4. If Amendment, Date Original Filed(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

**BURLINGTON, MA 01803-4238** 

(State)

		Table	1 - MOII-DO	erranve s	occui i	ues Au	quii eu, Disposeu (	oi, oi benencial	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5)			))	5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	
			Code V	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		
Common Stock	03/01/2013		M	615	A	<u>(1)</u>	9,796	D	
Common Stock	03/01/2013		F	234	D	<u>(1)</u>	9,562	D	
Common Stock	03/01/2013		M	64	A	<u>(2)</u>	9,626	D	
Common Stock	03/01/2013		F	25	D	<u>(2)</u>	9,601	D	
Common Stock	03/01/2013		M	129	A	<u>(2)</u>	9,730	D	

**OMB APPROVAL** 

OMB 3235-0287 Number:

January 31, Expires: 2005

10% Owner

Other (specify

7. Nature of Indirect Beneficial Ownership (Instr. 4)

Estimated average burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

(Check all applicable)

VP, Controller & Treasurer

6. Individual or Joint/Group Filing(Check

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Issuer

below)

Person

Director

Applicable Line)

\_X\_\_ Officer (give title

### Edgar Filing: Kober John - Form 4

Common Stock	03/01/2013	F	40	D	(2)	9,690	D
Common Stock	03/02/2013	M	524	A	<u>(3)</u>	10,214	D
Common Stock	03/02/2013	F	194	D	<u>(3)</u>	10,020	D
Common Stock	03/02/2013	M	315	A	<u>(3)</u>	10,335	D
Common Stock	03/02/2013	F	100	D	<u>(3)</u>	10,235	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercis Expiration Dat (Month/Day/Y	e	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Unit	<u>(1)</u>	03/01/2013		M	615	03/01/2013	03/01/2020	Common Stock	615
Management Stock Purchase	<u>(2)</u>	03/01/2013		M	64	03/01/2013	03/01/2020	Common Stock	64
Management Stock Purchase	<u>(2)</u>	03/01/2013		M	129	03/01/2013	03/01/2020	Common Stock	129
Restricted Stock Unit	(3)	03/02/2013		M	524	04/02/2010	03/02/2019	Common Stock	524
Restricted Stock Unit	(3)	03/02/2013		M	315	04/02/2010	03/02/2019	Common Stock	315

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Kober John 30 CORPORATE DR. SUITE 200 BURLINGTON, MA 01803-4238

VP, Controller & Treasurer

## **Signatures**

/s/ Alan J. Glass, attorney-in-fact

03/04/2013

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - The Restricted Stock Units (RSUs), the conversion of which are reported herein, were granted to the Reporting Person by the issuer as part of equity incentive grants made by the issuer on 3/01/10 utilizing a fair market value (FMV) of a share of the issuer's stock of \$30.91.
- (1) The RSU grant vests in equal portions over a three year period, and are received by the Reporting Person upon vesting, on a one-for-one basis unless the Reporting Person has previously chosen a longer deferral period. This report reflects the vesting of one-third portion of the original RSU grant and the acquisition by the Reporting Person of the underlying shares.
  - The Restricted Stock Units (RSUs), the conversion of which are reported herein, were issued to the Reporting Person pursuant to issuers Management Stock Purchase Plan (MSPP) on 3/1/10 utilizing a fair market value (FMV) of a share of issuers common stock of \$30.91.
- (2) RSUs are issued in whole units on the basis of a 33% discount from FMV of the issuer's common stock on the date the underlying bonus is determined (\$20.71 in this case) and generally vest 3 years from date of grant, at which time they convert into shares of common stock unless the executive has previously selected a longer deferral period. Acquisition price reflects the 33% discount to FMV of issuers stock on grant date. This report reflects the acquisition by the Reporting Person of the common stock underlying the RSUs.
  - The Restricted Stock Units (RSUs), the conversion of which are reported herein, were granted to the Reporting Person by the issuer as part of equity incentive grants made by the issuer on 3/02/09 utilizing a fair market value (FMV) of a share of the issuers stock of \$22.23.
- (3) The RSU grant vests in equal portions over a four year period, and are received by the Reporting Person upon vesting, on a one-for-one basis unless the Reporting Person has previously chosen a longer deferral period. This report reflects the vesting of one-fourth portion of the original RSU grant and the acquisition by the Reporting Person of the common stock underlying the RSUs minus sufficient shares withheld by the issuer at the request of the Reporting Person as necessary to pay applicable income taxes.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 3