Edgar Filing: ROGERS WILLIAM H JR - Form 4

### ROGERS WILLIAM H JR

Form 4

February 12, 2003

## FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

#### OMB APPROVAL

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\_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo and Dye's Section 16 Filer www.section16.net

Name and Address of Reporting Person*  Rogers, Jr., William H.					ne and Tic anks, Inc.		Symbol	6. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
(Last) (First) (Middle)  303 Peachtree St.				rting tity (	ntification Natification Natifi	Numbe	Mo	tatement for nth/Day/Year oruary 11, 2003	Director				
							Executive Vice						
(Street) Atlanta, GA 30308							Dat	f Amendment, e of Original onth/Day/Year)	(Check Ap <u>X</u> Form fil Person	nal or Joint/Group Filing uplicable Line) ed by One Reporting ed by More than One Person			
(City)	(State) (	Zip)	Т	able	I Non-D	erivat	ive Sec	urities Acquired, Dispo	, Disposed of, or Beneficially Owned				
1. Title of Security (Instr. 3)	Date	2A. Deemed Execution Date, if any (Month/Day/ Year)	3. Transaction C (Instr. 8 Code	Code	4. Securitie (A) or Disp (Instr. 3, 4 Amount	es Acqu oosed o	iired	5. Amount of Securities Beneficially Owned Following Reported Transactions(s)	6. Owner ship Forr Direct (I	7. Nature of n: Indirect D) Beneficial ct (I) Ownership			
Common Stock								(Instr. 3 & 4) 5,040.88	32 I	401(k)(1)			
Common Stock								6	50 I	Custodian Account <sup>(2)</sup>			
Common Stock	2/11/03		A		16,380	A		36,38	30 I	Restricted Stock(3)			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# FORM 4 (continued) Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2. Conver-	3	3A.	4	5. Number	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Natur
_	sion or	Trans-	Deemed	→. Trans-	c	and Expiration	Amount of				of Indirec
Derivative	81011 01	Trans-	Decined	Trans-	01	and Expiration	Alliount of	Derivative	Derivative	Owner-	or mance

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 4(b)(v).

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Security (Instr. 3)	Exercise Price of Derivative Security	action Date (Month/ Day/ Year)	Execution Date, if any (Month/ Day/ Year)	action Code (Instr. 8)		Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 & 5)		Date (Month/Day/ Year)		Underlying Securities (Instr. 3 & 4)		Security (Instr. 5)	Beneficially Owned Following Reported Transaction(s) (Instr. 4)	ship Form of Derivative Security: Direct (D) or Indirect	Beneficia Ownershi (Instr. 4)
				Code '	_		(D)	Date Exer-cisable	Expira- tion Date	Title	Amount or Number of Shares			(I) (Instr. 4)	
Phantom Stock Units <sup>(4)</sup>	1 for 1							(4)	(4)	Common Stock			113.600	D	
Phantom Stock Units <sup>(5)</sup>	1 for 1							(5)	(5)	Common Stock			16,000	D	
Option <sup>(6)</sup>	33.1875							1/1/96	11/13/05	Common Stock			2,000	D	
Option (6)	73.0625							11/9/02	11/9/09	Common Stock			1,365	D	
Option (6)	73.0625							11/9/02		Common Stock			4,635	D	
Option (7)	51.125							11/14/03		Common Stock			10,000	D	
Option (7)	64.57							11/13/04	11/13/11	Common Stock			15,000	D	
Option (7)	54.28	2/11/03		A	1	5,000		2/11/06		Common Stock	15,000		15,000	D	

Explanation of Responses:

- (1) Because the stock fund component of the 401(k) Plan is accounted for in unit accounting, the number of share equivalents varies based on the closing price of SunTrust stock on the applicable measurement date.
- (2) Held as Custodian for daugher, Heather Christian Rogers, and son, David Henry Rogers.
- (3) Restricted stock held under 1986 SunTrust Executive Stock Plan, 1995 SunTrust Executive Stock Plan and SunTrust Banks, Inc. 2000 Stock Plan. Subject to certain vesting conditions. Restricted stock agreements contain tax withholding features allowing stock to be withheld to satisfy tax withholding obligations. All plans are exempt under Rule 16(b)-3.
- (4) The reported phantom stock units were acquired under SunTrust Banks, Inc.'s 401(k) excess benefit plan.
- (5) Granted in exchange for restricted stock. Will be paid out on various dates.
- (6) Granted pursuant to the 1995 SunTrust Executive Stock Plan.
- (7) Granted pursuant to the SunTrust Banks, Inc. 2000 Stock Plan.

By: /s/ Margaret Hodgson, Attorney-in-Fact for William H. February 12, 2003
Rogers, Jr. Date

\*\*Signature of Reporting Person

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

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