### Edgar Filing: HARVARD BIOSCIENCE INC - Form 4

HARVARD I Form 4 July 02, 2014	BIOSCIENCE	INC									
FORM	<b>4</b>	П статес	SECUD	ITIES AT	ND FY(		NCE	COMMISSION	r	PPROVAL	
		DSTATES		hington,			NGE (	201011011051013	OMB Number:	3235-0287	
Check this if no long subject to Section 16 Form 4 or Form 5 obligation may conti	er <b>STATE</b> 5. Filed p snue. Section 1	STATEMENT OF CHANGES IN BENEFIC SECURITIES Filed pursuant to Section 16(a) of the Securitie Section 17(a) of the Public Utility Holding Comp 30(h) of the Investment Company					xchang Act of	e Act of 1934, f 1935 or Sectio	Expires: January 31 2009 Estimated average burden hours per response 0.9		
See Instru- 1(b).	ction	50(11)	or the m	vestment	compan	y Aci	. 01 1 /-	ŧŪ			
(Print or Type R	esponses)										
Gagnon Robert E. Symbol HARV				er Name <b>and</b> Ticker or Trading ARD BIOSCIENCE INC				5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
	(First) ARD BIOSCIE TOBER HILL		[HBIO] 3. Date of (Month/D 06/30/20	-	ansaction			Director X Officer (give below) Chief		o Owner er (specify er	
			endment, Date Original nth/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> </ul>				
HOLLISTO	N, MA 01746							Form filed by N Person			
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	Securi	ties Acc	uired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)		Date 2A. Deemed Year) Execution Date, if any (Month/Day/Year)		3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A)			Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)			
Common	06/30/2014			Code V J(1)	Amount 1,739	or (D) A	Price \$ 3.87	(Instr. 3 and 4) 6,739	D		
Stock							(2)				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
 (e.g., puts, calls, warrants, options, convertible securities)

-	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Instr. 8	<ol> <li>5.</li> <li>ctionNumber of</li> <li>Derivative Securitien Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)</li> </ol>	5	Date	Amou Unde Secur	le and unt of rlying ities . 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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## **Reporting Owners**

<b>Reporting Owner Name / Address</b>		Relationships						
		Director	10% Owner	Officer	Other			
Gagnon Robert E. C/O HARVARD BIOSCIENCE, INC. 84 OCTOBER HILL ROAD HOLLISTON, MA 01746				Chief Financial Officer				
Signatures								
Robert E. Gagnon	07/02/2014							
<u>**</u> Signature of	Date							

Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares acquired under the Issuer's Employee Stock Purchase Plan.
- Represents the purchase price for each share purchased under the Employee Stock Purchase Plan and equals 85% of the fair market value (2)of the common stock on June 30, 2014, being the offering date under the plan.

### **Remarks:**

This form is signed under power of attorney.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.