Edgar Filing: Gevo, Inc. - Form 4

Gevo, Inc. Form 4												
November 19	0. 2013											
FORM	1									PPROVAL		
	UNITE	D STATE		ITIES A hington,			NGE (COMMISSION	OMB Number:	3235-0287		
Check this box									Expires:	January 31 2005		
subject to Section 10 Form 4 or Form 5	SECUR	ITIES			NERSHIP OF	Estimated a burden hou response	average Irs per					
obligation may conti <i>See</i> Instru 1(b).	nue. Section 1	7(a) of the		ility Hold	ling Com	npany	Act o	ge Act of 1934, f 1935 or Sectio 40	n			
(Print or Type R	esponses)											
			Symbol	2. Issuer Name and Ticker or Trading Symbol Gevo, Inc. [GEVO]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Check all applicable)					e)				
((Month/D	(Month/Day/Year) 11/15/2013				Director 10% Owner X Officer (give title Other (specify below) below) President and COO				
SUITE 310												
			ndment, Date Original nth/Day/Year)				6. Individual or Joint/Group Filing(Check Applicable Line)_X_ Form filed by One Reporting Person					
ENGLEWO	OD, CO 80112	2						Form filed by M Person	Iore than One Re	eporting		
(City)	(State)	(Zip)	Table	e I - Non-D	erivative S	Securi	ities Aco	quired, Disposed of	, or Beneficial	lly Owned		
1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deemed Execution Date, if any (Month/Day/Year)		on Date, if	3. 4. Securities Acquired Transaction(A) or Disposed of Code (D) (Instr. 8) (Instr. 3, 4 and 5) (A)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)					
				Code V	Amount	or (D)	Price \$	(Instr. 3 and 4)				
Common Stock	11/15/2013			S <u>(1)</u>	439	D	(<u>2</u>)	271,759	D			
Common Stock	11/18/2013			S <u>(1)</u>	2,119	D	\$ 1.62 (3)	269,640	D			
Common Stock	11/19/2013			S <u>(1)</u>	1,042	D	\$ 1.45 (4)	268,598	D			

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	ate	Secur	unt of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Ryan Christopher Michael							
GEVO, INC., 345 INVERNESS DRIVE SOUTH			President				
BUILDING C, SUITE 310 ENGLEWOOD, CO 80112			and COO				
Signatures							

/s/ Brett Lund,	11/19/2013
Attorney-in-Fact	11/19/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- Shares were sold to satisfy certain tax obligations of the reporting person triggered by the vesting of such restricted stock shares. The (1)sales reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading adopted May 24, 2013.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$1.38 to \$1.60, inclusive. The reporting person undertakes to provide to Gevo, Inc., any security holder of Gevo, Inc., or the staff of the

- (2) Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (2) to this Form 4.
- (3) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$1.49 to \$1.78, inclusive. The reporting person undertakes to provide to Gevo, Inc., any security holder of Gevo, Inc., or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the

range set forth in this footnote (3) to this Form 4.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$1.41 to \$1.51, inclusive. The reporting person undertakes to provide to Gevo, Inc., any security holder of Gevo, Inc., or the staff of the

(4) to \$1.51, inclusive. The reporting person undertakes to provide to Gevo, inc., any security holder of Gevo, inc., of the start of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (4) to this Form 4.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.