INFINERA CORP Form 4 October 31, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

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Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(City)

See Instruction

1. Name and Address of Reporting Person * Kambiz Hooshmand

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to

Issuer

(First) (Middle)

(Zip)

INFINERA CORP [INFN] 3. Date of Earliest Transaction

(Check all applicable) X_ Director 10% Owner

Officer (give title

C/O INFINERA

CORPORATION, 140 CASPIAN **COURT**

(Street)

(State)

4. If Amendment, Date Original

Filed(Month/Day/Year)

(Month/Day/Year)

10/29/2013

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

SUNNYVALE, CA 94089

1. Title of 2. Transaction Date 2A. Deemed 3. 4. Securities Acquired 5. Amount of 6. Ownership 7. Natu	c
1. The of 2. Transaction Date 2A. Decined 5. 4. Securities Acquired 5. Almount of 6. Ownership 7. Natu	re of
Security (Month/Day/Year) Execution Date, if Transaction(A) or Disposed of (D) Securities Form: Direct Indirect	
(Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially (D) or Benefic	ial
(Month/Day/Year) (Instr. 8) Owned Indirect (I) Owners	hip
Following (Instr. 4) (Instr. 4))
Reported	
(A) Transaction(s)	
or (Instr. 3 and 4)	
Code V Amount (D) Price (Mode 3 and 1)	
Common 10/29/2013 M 17,600 A \$ 52,834 D	
Stock 10/29/2013 M 17,600 A 7.25 52,834 D	
Common $10/29/2013$ $S_{(4)}^{(4)}$ 17,600 D ${}^{\$}$ 35,234 D	
Common Stock $10/29/2013$ $S_{\underline{(4)}}$ $17,600$ D $\begin{array}{cccccccccccccccccccccccccccccccccccc$	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amo Underlying Secur (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amo Num Share
Non-Qualified Stock Option (Right to Buy)	\$ 9.24					<u>(1)</u>	12/15/2019	Common Stock	100
Non-Qualified Stock Option (Right to Buy)	\$ 7.55					<u>(1)</u>	05/19/2020	Common Stock	14,
Non-Qualified Stock Option (Right to Buy)	\$ 7.25	10/29/2013		M	17,600	<u>(1)</u>	05/18/2021	Common Stock	17,
Restricted Stock Units	<u>(2)</u>					(3)	(3)	Common Stock	13,

Reporting Owners

Reporting Owner Name / Address	Relationships					
. 9	Director	10% Owner	Officer	Other		
Kambiz Hooshmand C/O INFINERA CORPORATION 140 CASPIAN COURT SUNNYVALE, CA 94089	X					
O' .						

Signatures

/s/ Donica Forensich, by Power of Attorney 10/31/2013

**Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option is fully vested.
- (2) Each restricted stock unit ("RSU") represents a contingent right to receive one share of INFN common stock.
- (3) The RSUs fully vest on May 15, 2014.
- (4) This sale was made in connection with the Reporting Person's Rule 10b5-1 Trading Plan, which was adopted on August 30, 2013.

Reporting Owners 2

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