### Edgar Filing: HARVARD BIOSCIENCE INC - Form 4

HARVARD Form 4 June 04, 2013		E INC									
FORM	Δ									PPROVAL	
		SECURITIES AND EXCHANGE CO Washington, D.C. 20549				COMMISSION	OMB Number:	3235-0287			
Check this if no long							Expires:	January 31,			
subject to Section 10	F CHAN	CHANGES IN BENEFICIAL OWNERSHIP C SECURITIES						2005 average Irs per			
Form 4 or									response	response 0.5	
Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940											
(Print or Type R	esponses)										
VENNEDV JOUNE				2. Issuer Name <b>and</b> Ticker or Trading Symbol				5. Relationship of Reporting Person(s) to Issuer			
			HARVA [HBIO]	RD BIOS	SCIENC	E IN	С	(Check all applicable)			
(N			(Month/Da	3. Date of Earliest Transaction (Month/Day/Year) 05/31/2013				_X_ Director10% Owner Officer (give titleOther (specify below)below)			
INC., 84 OC	TOBER HILI	L ROAD		-							
	(Street)		4. If Amendment, Date Original Filed(Month/Day/Year)				<ul> <li>6. Individual or Joint/Group Filing(Check</li> <li>Applicable Line)</li> <li>_X_ Form filed by One Reporting Person</li> </ul>				
HOLLISTO	N, MA 01746							Form filed by M Person	More than One Ro	eporting	
(City)	(State)	(Zip)	Table	e I - Non-De	erivative S	Securi	ties Ac	quired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Ye	ear) Execution any	emed	3. Transactio	4. Securi nAcquired Disposed (Instr. 3,	ties I (A) c I of (D	er P)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of	
Common Stock	05/31/2013			А	5,906 (1)	А	\$0	19,309 <u>(2)</u>	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	TransactionDerivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Stock options (right to buy)	\$ 5.08	05/31/2013		A	11,400	(3)	05/31/2023	Common Stock, par value \$0.01 per share	11,400	

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## **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
KENNEDY JOHN F C/O HARVARD BIOSCIENCE, INC. 84 OCTOBER HILL ROAD HOLLISTON, MA 01746	Х					
Signatures						
Thomas						

McNaughton 06/04/2013 <u>\*\*Signature of Date</u> Reporting Person

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes a deferred stock award of 5,906 restricted stock units which shall fully vest on May 31, 2014.

Includes (a) a deferred stock award of 5,906 restricted stock units which shall fully vest on May 31, 2014; (b) a deferred stock award of
(2) 8,403 restricted stock units which vest in equal installments on June 1, 2013, June 1, 2014, and June 1, 2015; and (c) 5,000 shares of common stock held by Mr. Kennedy.

(3) The options shall fully vest on May 31, 2014.

#### **Remarks:**

This form has been signed under power of attorney.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.