

KLA TENCOR CORP

Form 4

January 31, 2013

FORM 4
UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

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(Print or Type Responses)

1. Name and Address of Reporting Person *
BARNHOLT EDWARD W

(Last) (First) (Middle)

**C/O KLA-TENCOR
CORPORATION, ONE
TECHNOLOGY DRIVE**

(Street)

MILPITAS, CA 95035

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
KLA TENCOR CORP [KLAC]

3. Date of Earliest Transaction
(Month/Day/Year)
01/29/2013

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☐ Officer (give title below) ☒ Other (specify
below)

Chairman of the Board

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
Common Stock	01/29/2013		M	2,500	A \$ 40.68 6,309	D	
Common Stock	01/29/2013		S	2,500	D \$ 55.353 3,809 (1)	D	
Common Stock	01/29/2013		M	1,250	A \$ 51.98 5,059	D	
Common Stock	01/29/2013		S	1,250	D \$ 55.375 3,809 (2)	D	

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Common Stock	01/29/2013	M	1,250	A	\$ 47.86	5,059	D	
Common Stock	01/29/2013	S	1,250	D	\$ (3) 55.366	3,809	D	
Common Stock	01/29/2013	M	1,250	A	\$ 41.45	5,059	D	
Common Stock	01/29/2013	S	1,250	D	\$ (4) 55.351	3,809	D	
Common Stock	01/29/2013	M	1,250	A	\$ 50.39	5,059	D	
Common Stock	01/29/2013	S	1,250	D	\$ (5) 55.333	3,809	D	
Common Stock	01/29/2013	M	1,875	A	\$ 50.39	5,684	D	
Common Stock	01/29/2013	S	1,875	D	\$ (6) 55.353	3,809	D	
Common Stock						39,167	I	By Trust (7)
Common Stock - Restricted Stock Units (8)						3,836	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
				Code V	(A) (D)		Title

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						Date Exercisable	Expiration Date		Amount or Number of Shares
Non-Qualified Stock Option (Right to Buy)	\$ 40.68	01/29/2013	M	2,500	<u>(9)</u>	10/18/2014	Common Stock	2,500	
Non-Qualified Stock Option (Right to Buy)	\$ 51.98	01/29/2013	M	1,250	<u>(10)</u>	01/31/2013	Common Stock	1,250	
Non-Qualified Stock Option (Right to Buy)	\$ 47.86	01/29/2013	M	1,250	<u>(11)</u>	05/02/2013	Common Stock	1,250	
Non-Qualified Stock Option (Right to Buy)	\$ 41.45	01/29/2013	M	1,250	<u>(12)</u>	08/01/2013	Common Stock	1,250	
Non-Qualified Stock Option (Right to Buy)	\$ 50.39	01/29/2013	M	1,250	<u>(13)</u>	02/14/2014	Common Stock	1,250	
Non-Qualified Stock Option (Right to Buy)	\$ 50.39	01/29/2013	M	1,875	<u>(14)</u>	02/14/2014	Common Stock	1,875	

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BARNHOLT EDWARD W C/O KLA-TENCOR CORPORATION ONE TECHNOLOGY DRIVE MILPITAS, CA 95035	X			Chairman of the Board

Signatures

Brian M. Martin as Attorney-in-Fact for Edward W. Barnholt 01/31/2013

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
 - (1) This transaction was executed in multiple trades at prices ranging from \$55.351 to \$55.354. The price reported above reflects the weighted-average sales price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares and prices at which the transaction was effected.
 - (2) This transaction was executed in multiple trades at prices ranging from \$55.374 to \$55.376. The price reported above reflects the weighted-average sales price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares and prices at which the transaction was effected.

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holder of the issuer, full information regarding the number of shares and prices at which the transaction was effected.

- (3) This transaction was executed in multiple trades at prices ranging from \$55.362 to \$55.374. The price reported above reflects the weighted-average sales price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares and prices at which the transaction was effected.
- (4) This transaction was executed in multiple trades at prices ranging from \$55.334 to \$55.352. The price reported above reflects the weighted-average sales price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares and prices at which the transaction was effected.
- (5) This transaction was executed in multiple trades at prices ranging from \$55.331 to \$55.336. The price reported above reflects the weighted-average sales price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares and prices at which the transaction was effected.
- (6) This transaction was executed in multiple trades at prices ranging from \$55.35 to \$55.356. The price reported above reflects the weighted-average sales price. The Reporting Person hereby undertakes to provide upon request to the SEC staff, the issuer or a security holder of the issuer, full information regarding the number of shares and prices at which the transaction was effected.
- (7) By The Barnholt Family Trust dated January 8, 1987, of which the Reporting Person is a trustee and beneficiary.
- (8) Each restricted stock unit ("RSU") represents a contingent right to receive one share of KLA-Tencor common stock.
- (9) Represents a stock option granted on October 18, 2004, granting the Reporting Person the right to purchase up to 2,500 shares of KLA-Tencor common stock. All of the shares subject to this stock option vested immediately and were exercisable on October 18, 2004.
- (10) Represents a stock option granted on January 31, 2006, granting the Reporting Person the right to purchase up to 1,250 shares of KLA-Tencor common stock. All of the shares subject to this stock option vested immediately and were exercisable on January 31, 2006.
- (11) Represents a stock option granted on May 2, 2006, granting the Reporting Person the right to purchase up to 1,250 shares of KLA-Tencor common stock. All of the shares subject to this stock option vested immediately and were exercisable on May 2, 2006.
- (12) Represents a stock option granted on August 1, 2006, granting the Reporting Person the right to purchase up to 1,250 shares of KLA-Tencor common stock. All of the shares subject to this stock option vested immediately and were exercisable on August 1, 2006.
- (13) Represents a stock option granted on February 14, 2007, granting the Reporting Person the right to purchase up to 1,250 shares of KLA-Tencor common stock. All of the shares subject to this stock option vested immediately and were exercisable on February 14, 2007.
- (14) Represents a stock option granted on February 14, 2007, granting the Reporting Person the right to purchase up to 1,875 shares of KLA-Tencor common stock. All of the shares subject to this stock option vested immediately and were exercisable on February 14, 2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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