Edgar Filing: SMITH JAMES DUNCAN - Form 5

SMITH JAMES DUNCAN Form 5 February 14, 2012 OMB APPROVAL FORM 5 OMB UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362 Number: Washington, D.C. 20549 Check this box if January 31, Expires: no longer subject 2005 to Section 16. Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL Form 4 or Form burden hours per 5 obligations **OWNERSHIP OF SECURITIES** response... 1.0 may continue. See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported 30(h) of the Investment Company Act of 1940 Form 4 Transactions Reported 1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to Issuer SMITH JAMES DUNCAN Symbol BRYN MAWR BANK CORP (Check all applicable) [BMTC] (Middle) 3. Statement for Issuer's Fiscal Year Ended (Last) (First) Director 10% Owner Х _ Officer (give title Other (specify (Month/Day/Year) below) below) 12/31/2011 Treasurer & Assist. Secretary **801 LANCASTER AVENUE** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Reporting Filed(Month/Day/Year) (check applicable line)

BRYN MAWR, PAÂ 19010

X Form Filed by One Reporting Person ____ Form Filed by More than One Reporting Person

(City)	(State) (Zip) Table	e I - Non-Deri	vative Sec	curitie	s Acqui	ired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi Acquired Disposed (Instr. 3, Amount	l (A) o l of (D 4 and (A) or)	5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	Â	Â	Â	Â	Â	Â	5,905	D	Â
Common Stock	Â	Â	Â	Â	Â	Â	1,743.849 (6)	Ι	Held in 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	Number				7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Options to Purchase Common Stock (1)	\$ 18.91	Â	Â	Â	Â	Â	05/12/2005	05/12/2015	Common Stock	15,000
Options to Purchase Common Stock (1)	\$ 21.21	Â	Â	Â	Â	Â	12/12/2005	12/12/2015	Common Stock	12,000
Options to Purchase Common Stock (<u>3</u>)	\$ 22	Â	Â	Â	Â	Â	08/29/2008 <u>(2)</u>	08/29/2017	Common Stock	9,000
Options to Purchase Common Stock (<u>3)</u>	\$ 24.27	Â	Â	Â	Â	Â	08/18/2009 <u>(4)</u>	08/18/2018	Common Stock	9,000
Options to Purchase Common Stock (<u>3</u>)	\$ 18.27	Â	Â	Â	Â	Â	08/21/2010 <u>(5)</u>	08/21/2019	Common Stock	11,500

Reporting Owners

Reporting Owner Name / Address	ss Relationships						
	Director	10% Owner	Officer	Other			
	Â	Â	Treasurer & Assist. Secretary	Â			

SMITH JAMES DUNCAN 801 LANCASTER AVENUE BRYN MAWR, PAÂ 19010

Signatures

James Duncan Smith

02/14/2012

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These options were granted to the reporting person under BMBC's 2004 Stock Option Plan in a transaction exempt under Rule 16b-3.
- (2) These options become exercisable over a five (5) year period in 20% increments starting on 08/29/2008 and on each 08/29 thereafter until the options are fully exercisable.
- (3) These options were granted to the reporting person under BMBC's 2007 Long-term Incentive Plan.
- (4) The options become exercisable over a five (5) year period in 20% increments starting on 8/18/2009 and on each 8/18 thereafter until the options are fully exercisable.
- (5) The options become exercisable over a five (5) year period in 20% increments starting on 8/21/2010 and on each 8/21 thereafter until the options are fully exercisable.
- (6) This information based on a plan statement dated 12/31/2011.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.