Edgar Filing: HARRIS MCCLELLAN III - Form 5

HARRIS MCCLELLAN III

Form 5

February 14, 2007

OMB APPROVAL FORM 5 **OMB** UNITED STATES SECURITIES AND EXCHANGE COMMISSION 3235-0362

Washington, D.C. 20549 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations

Expires: 2005 Estimated average ANNUAL STATEMENT OF CHANGES IN BENEFICIAL burden hours per OWNERSHIP OF SECURITIES response... 1.0

Number:

January 31,

See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 1(b). Form 3 Holdings Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Reported

30(h) of the Investment Company Act of 1940 Form 4

Transactions

may continue.

керопец										
HARRIS MCCLELLAN III Symbo AME			2. Issuer Name and Ticker or Trading Symbol AMERICAN STATES WATER CO [AWR]			Iss	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
(Last)	(First) ((Montl 12/31	3. Statement for Issuer's Fiscal Year Ended (Month/Day/Year) 12/31/2006				Director X_ Officer (give to low) Sr. Vi		Owner r (specify	
J-17 VIII			1 . D.	0 1				./C D	.•	
			If Amendment, Date Original ed(Month/Day/Year)				6. Individual or Joint/Group Reporting			
		T-Hed(F	Monui/Day/1ear)				(check	applicable line)		
(City)	Γ BEACH, CAA	(T)	able I - Non-De	erivative Secur	rities A	Pe	_ Form Filed by On _ Form Filed by Morson ed, Disposed of,	ore than One Re	porting	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code	4. Securities (A) or Dispo (Instr. 3, 4 ar	sed of		5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common	12/31/2006	Â	A	259.3158 (1)	A	\$ 0	5,059.7843	I	401(K)	
	port on a separate line eficially owned direct		contained	in this form	are n	ot req	ection of inform uired to respond OMB control	nd unless	SEC 2270 (9-02	

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					(A)	(D)	Date Exercisable	Expiration Date	Title	Amount of Number of Shares
Common	\$ 0	12/31/2006	Â	A	27.4953	Â	(2)	(3)	Common	27.4953

Reporting Owners

Reporting Owner Name / Address	Relationships						
1	Director	10% Owner	Officer	Other			
HARRIS MCCLELLAN III 3419 VIA LIDO DRIVE PMB#334 NEWPORT BEACH, CA 92663	Â	Â	Sr. Vice President	Â			

Signatures

/s/ McClellan
Harris III

**Signature of Reporting Person

O2/14/2007

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Updated 401K employer contributions of Company Stock
- (2) DER units credited on RSU award for 3 years vesting 1/3 annually Jan. 07, Jan. 08 & Jan. 09
- (3) No expiration date. Automatically converts to shares upon vesting dates listed in FN 1.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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