

SUN MICROSYSTEMS, INC.

Form 3

September 15, 2006

FORM 3**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

OMB
Number: 3235-0104Expires: January 31,
2005Estimated average
burden hours per
response... 0.5**INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF
SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting
Person *

Green Richard L

(Last) (First) (Middle)

4150 NETWORK CIRCLE

(Street)

SANTA CLARA, CA 95054

(City) (State) (Zip)

2. Date of Event Requiring
Statement

(Month/Day/Year)

09/05/2006

3. Issuer Name and Ticker or Trading Symbol

SUN MICROSYSTEMS, INC. [SUNW]

4. Relationship of Reporting
Person(s) to Issuer

(Check all applicable)

☐ Director ☐ 10% Owner☒ Officer ☐ Other

(give title below) (specify below)

EVP, Software Group

5. If Amendment, Date Original
Filed(Month/Day/Year)

6. Individual or Joint/Group

Filing(Check Applicable Line)

☒ Form filed by One Reporting
Person☐ Form filed by More than One
Reporting Person**Table I - Non-Derivative Securities Beneficially Owned**1. Title of Security
(Instr. 4)2. Amount of Securities
Beneficially Owned
(Instr. 4)3. Ownership
Form:
Direct (D)
or Indirect
(I)
(Instr. 5)4. Nature of Indirect Beneficial
Ownership
(Instr. 5)

Common Stock

435,138 ⁽¹⁾

D

A

Common Stock

4,604

I

Shares held by wife.

Common Stock

1,104

I

Shares held in a custodial account
for son.Reminder: Report on a separate line for each class of securities beneficially
owned directly or indirectly.

SEC 1473 (7-02)

**Persons who respond to the collection of
information contained in this form are not
required to respond unless the form displays a
currently valid OMB control number.****Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**1. Title of Derivative
Security2. Date Exercisable and
Expiration Date
(Month/Day/Year)3. Title and Amount of
Securities Underlying

4. Conversion

5. Ownership

6. Nature of Indirect
Beneficial

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(Instr. 4)			Derivative Security (Instr. 4)		or Exercise Price of Derivative Security	Form of Derivative Security: Direct (D) or Indirect (I) (Instr. 5)	Ownership (Instr. 5)
	Date Exercisable	Expiration Date	Title	Amount or Number of Shares			
Employee Stock Option (Right to Buy) (2)	05/21/2004 ⁽³⁾	05/21/2011	Common Stock	3,000	\$ 4.2	I	Options held by wife.
Employee Stock Option (Right to Buy) (2)	11/13/2004 ⁽⁴⁾	11/13/2011	Common Stock	5,000	\$ 4.208	I	Options held by wife.
Employee Stock Option (Right to Buy) (2)	09/17/2005 ⁽⁵⁾	09/17/2012	Common Stock	5,000	\$ 3.94	I	Options held by wife.
Employee Stock Option (Right to Buy) (2)	01/27/2006 ⁽⁶⁾	01/27/2013	Common Stock	12,000	\$ 4.12	I	Options held by wife.
Employee Stock Option (Right to Buy) (2)	07/27/2007 ⁽⁷⁾	07/27/2014	Common Stock	250,000	\$ 4.26	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Green Richard L 4150 NETWORK CIRCLE SANTA CLARA, CA 95054	Â	Â	Â EVP, Software Group	Â

Signatures

/s/ Richard L.
Green

09/15/2006

__Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) This includes 423,000 shares of unvested restricted stock units.
- (2) This option was granted under the Sun Microsystems, Inc. 1990 Long-Term Equity Incentive Plan.
- (3) This option vests and becomes exercisable in five equal annual installments of 600 shares beginning on May 21, 2004.
- (4) This option vests and becomes exercisable in five equal annual installments of 1,000 shares beginning on November 13, 2004.
- (5) This option vests and becomes exercisable in five equal annual installments of 1,000 shares beginning on September 17, 2005.
- (6) This option vests and becomes exercisable in five equal annual installments of 2,400 shares beginning on January 27, 2006.

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(7) This option vests and becomes exercisable in five equal annual installments of 50,000 shares beginning on July 27, 2007.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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