ROEDER ROSS E

Form 4 April 01, 2005

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB APPROVAL OMB

Number:

5. Relationship of Reporting Person(s) to

3235-0287

Expires:

January 31, 2005

0.5

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response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue.

2. Issuer Name and Ticker or Trading

30(h) of the Investment Company Act of 1940 See Instruction

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

ROEDER ROSS E Sy			Symbol					Issuer				
			•	& FINA	L INC/I	DE [S	MF]	(Check all applicable)				
	(Last)	(First)	(Middle)	3. Date of Earliest Transaction					(Check an applicable)			
			(Month/D 03/31/20	-				X Director 10% Owner Officer (give title below) Other (specify below)				
		(Street)		4. If Ame	ndment, Da	te Origina	1		6. Individual or Joint/Group Filing(Check			
				Filed(Mor	th/Day/Year))			Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person			
	COMMERC	CE, CA 91739										
	(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed of, or Beneficially Owned			
	1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year	e) Execution any	ned n Date, if Day/Year)	3. Transactio Code (Instr. 8)	(Instr. 3,	(A) or	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
	Common Stock, par value \$.01 per share	03/31/2005			Code V D	1,200	(D)	Price \$ 12.38	210,050	D		
	Common Stock, par value \$.01 per share	03/31/2005			D	2,000	D	\$ 12.35	208,050	D		
	Common Stock, par value \$.01 per share	03/31/2005			D	100	D	\$ 12.37	207,950	D		

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Common Stock, par value \$.01 per share	03/31/2005	D	1,200	D	\$ 12.32	206,750	D	
Common Stock, par value \$.01 per share	03/31/2005	D	1,500	D	\$ 12.25	205,250	D	
Common Stock, par value \$.01 per share	03/31/2005	D	5,400	D	\$ 12.31	199,850	D	
Common Stock, par value \$.01 per share	03/31/2005	D	900	D	\$ 12.33	198,950	D	
Common Stock, par value \$.01 per share	03/31/2005	D	2,300	D	\$ 12.3	196,650	D	
Common Stock, par value \$.01 per share	03/31/2005	D	400	D	\$ 12.18	196,250	D	
Common Stock, par value \$.01 per share						64,421	I	Deferred Smart Shares

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Dr.Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	;	7. Title and Amount of Underlying Securities (Instr. 3 and 4)
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		Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Options	\$ 4.28					02/19/2004(1)	02/19/2013	Common	43,750
Stock Options	\$ 12.89					02/17/2005(2)	02/17/2014	Common	100,000
Stock Options	\$ 10.132					09/27/2003(3)	09/27/2011	Common	276,000
Stock Options	\$ 6.5					09/16/2004(4)	09/16/2013	Common	50,000

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer Other					
ROEDER ROSS E 600 CITADEL DR. COMMERCE, CA 91739	X							

Signatures

Ross E. Roeder 04/01/2005

**Signature of Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Previously reported options. One-third of the options became exercisable on Feb. 19 in each of 2004 and 2005 and one-third will become exercisable on Feb. 19, 2006.
- (2) Previously reported options. One-third of the options became exercisable on February 17, 2005 and one-third will become exercisable on February 17 in each of 2006 and 2007.
- (3) Previously reported options. One-third of the options became exercisable on September 27 in each of 2003 and 2004, one-third will become exercisable on Sept. 27, 2005.
- (4) Previously reported options. One-third of the options became exercisable on Sept. 16, 2004 and one-third will become exercisable on Sept. 16 in each of 2005 & 2006.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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