### Edgar Filing: Peterson Amy C. - Form 4

Form 4 August 24, 2	•										
FORM	14								OMB AP	PROVAL	
	UNIT	ED STATI		ATTIES A hington,			NGE CO	MMISSION	OMB Number:	3235-0287	
Check thi	or			0 /					Expires:	January 31,	
if no long subject to Section 1 Form 4 o Form 5		F CHANGES IN BENEFICIAL OWNERS SECURITIES					Estimated av burden hours response				
obligation may cont <i>See</i> Instru 1(b).	inue. Section	17(a) of th		tility Hold	ling Cor	npan	y Act of 1	Act of 1934, 935 or Section			
(Print or Type F	Responses)										
1. Name and A Peterson An	ddress of Reporting C.	ting Person <u>*</u>	Symbol	Name <b>and</b> e, Ltd. [B		Tradi	0	Relationship of F suer			
(Last)	(First)	(Middle)	3. Date of	3. Date of Earliest Transaction (Check					k all applicable)		
			(Month/D	•				Director		Owner	
	ANT OZAN FE SERVICI VENUE		08/23/20	018				XOfficer (give t clow) CMO, In	below) below) muno-oncolog		
	(Street)			ndment, Da hth/Day/Year	-	.1	A	Individual or Join pplicable Line) K_Form filed by Or	ne Reporting Pers	son	
	BAY, GRAN E9 KY1-110						Pe	_ Form filed by Mo erson	ore than One Rep	orung	
(City)	(State)	(Zip)	Tabl	e I - Non-D	<b>)</b> erivative	Secu	rities Acquir	ed, Disposed of,	or Beneficially	y Owned	
1.Title of Security (Instr. 3)	2. Transaction (Month/Day/Y	ear) Execu any	cution Date, if Transactionor Disposed of (D) Securitie Code (Instr. 3, 4 and 5) Benefician nth/Day/Year) (Instr. 8) Owned (A) Reported Transactionor Disposed of (D) Securitie Benefician Owned Followin Reported				5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		
American				Code V	Amount	(D)	Price	(insu: 5 and 4)	/		
Depositary Shares $(1)$	08/23/2018			S <u>(2)</u>	1,108	D	\$ 167.53 ( <u>3)</u>	<sup>5</sup> 4,661	D		
American Depositary Shares (1)	08/23/2018			S <u>(2)</u>	2,300	D	\$ 168.9414 (4)	2,361	D		
American Depositary Shares (1)	08/23/2018			S <u>(2)</u>	1,400	D	\$ 169.7543 (5)	961	D		
	08/23/2018			S(2)	961	D		0	D		

#### Edgar Filing: Peterson Amy C. - Form 4

American         Depositary         Shares $(1)$	\$ 170.4634 (6)		
Ordinary Shares		194,569	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title Amoun Underly Securiti (Instr. 3	it of ying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
			Code V	(Instr. 3, 4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title M	Amount or Number of Shares		

## **Reporting Owners**

Reporting Owner Name / Address			Relationships					
		Director	10% Owner	Officer	Other			
Peterson Amy C. C/O MOURANT OZANNES CORPORATE SERVICES, 94 SOLARIS AVENUE CAMANA BAY, GRAND CAYMAN, E9 KY1-1108				CMO, Immuno-oncology				
Signatures								
/s/ Qing Nian, as Attorney-in-Fact	08/24/2018							
**Signature of Reporting Person	Date							
<b>Explanation of Respo</b>	nses:							

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

### Edgar Filing: Peterson Amy C. - Form 4

- (1) Each American Depositary Share represents 13 Ordinary Shares.
- (2) The sale was effected pursuant to a Rule 10b5-1 trading plan adopted by the Reporting Person.

(3) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$167.05 to \$167.77, inclusive. The Reporting Person has provided the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of American Depositary Shares sold at each separate price.

(4) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$168.25 to \$169.24, inclusive. The Reporting Person has provided the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of American Depositary Shares sold at each separate price.

(5) The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$169.27 to \$170.19, inclusive. The Reporting Person has provided the Issuer, and undertakes to provide to the staff of the Securities and Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of American Depositary

Shares sold at each separate price.

The price reported in Column 4 is a weighted average price. These shares were sold in multiple transactions at prices ranging from \$170.30 to \$170.87, inclusive. The Reporting Person has provided the Issuer, and undertakes to provide to the staff of the Securities and

(6) Exchange Commission or any security holder of the Issuer, upon request, full information regarding the number of American Depositary Shares sold at each separate price.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.