

Chondros Dimitrios
 Form 3
 May 07, 2018

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
 Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

| | | | | | |
|---|---|--|---|---|--|
| <p>1. Name and Address of Reporting Person *</p> <p>Chondros Dimitrios</p> <p>(Last) (First) (Middle)</p> <p>C/O HALOZYME THERAPEUTICS, INC., 11388 SORRENTO VALLEY ROAD</p> <p>(Street)</p> <p>SAN DIEGO, CA 92121</p> <p>(City) (State) (Zip)</p> | <p>2. Date of Event Requiring Statement</p> <p>(Month/Day/Year)</p> <p>05/02/2018</p> | <p>3. Issuer Name and Ticker or Trading Symbol</p> <p>HALOZYME THERAPEUTICS INC [HALO]</p> | <p>4. Relationship of Reporting Person(s) to Issuer</p> <p>(Check all applicable)</p> <p><input type="checkbox"/> Director <input type="checkbox"/> 10% Owner <input checked="" type="checkbox"/> Officer <input type="checkbox"/> Other (give title below) (specify below) SVP, Chief Medical Officer</p> | <p>5. If Amendment, Date Original Filed(Month/Day/Year)</p> | <p>6. Individual or Joint/Group Filing(Check Applicable Line)</p> <p><input checked="" type="checkbox"/> Form filed by One Reporting Person <input type="checkbox"/> Form filed by More than One Reporting Person</p> |
|---|---|--|---|---|--|

Table I - Non-Derivative Securities Beneficially Owned

| 1. Title of Security (Instr. 4) | 2. Amount of Securities Beneficially Owned (Instr. 4) | 3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5) | 4. Nature of Indirect Beneficial Ownership (Instr. 5) |
|------------------------------------|--|---|--|
| Common Stock | 34,076 ⁽¹⁾ | D | À |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 4) | 2. Date Exercisable and Expiration Date (Month/Day/Year) | 3. Title and Amount of Securities Underlying Derivative Security | 4. Conversion or Exercise | 5. Ownership Form of | 6. Nature of Indirect Beneficial Ownership |
|---|---|--|---------------------------|----------------------|--|
|---|---|--|---------------------------|----------------------|--|

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| | Date Exercisable | Expiration Date | (Instr. 4) Title | Amount or Number of Shares | Price of Derivative Security | Derivative Security: Direct (D) or Indirect (I) (Instr. 5) | (Instr. 5) |
|---------------------------------|---------------------------|------------------|---------------------|----------------------------|------------------------------|--|------------|
| Option to Purchase Common Stock | 08/03/2016 ⁽²⁾ | 08/03/2025 | Common Stock | 100,000 | \$ 23.03 | D | Â |
| Option to Purchase Common Stock | 02/03/2017 ⁽²⁾ | 02/03/2026 | Common Stock | 41,841 | \$ 8.11 | D | Â |
| Option to Purchase Common Stock | 02/22/2018 ⁽²⁾ | 02/22/2027 | Common Stock | 24,861 | \$ 12.07 | D | Â |
| Option to Purchase Common Stock | 06/01/2018 ⁽³⁾ | 06/01/2027 | Common Stock | 13,218 | \$ 12.24 | D | Â |
| Option to Purchase Common Stock | 02/14/2019 ⁽³⁾ | 02/14/2028 | Common Stock | 47,635 | \$ 18.41 | D | Â |
| Restricted Stock Units | 02/22/2018 ⁽⁴⁾ | Â ⁽⁴⁾ | Common Stock | 11,651 | \$ ⁽⁴⁾ | D | Â |
| Restricted Stock Units | 02/14/2019 ⁽⁵⁾ | Â ⁽⁵⁾ | Common Stock | 8,170 | \$ ⁽⁵⁾ | D | Â |
| Restricted Stock Units | 02/14/2019 ⁽⁵⁾ | Â ⁽⁵⁾ | Common Stock | 27,160 | \$ ⁽⁵⁾ | D | Â |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|--|---------------|-----------|------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| Chondros Dimitrios C/O HALOZYME THERAPEUTICS, INC. 11388 SORRENTO VALLEY ROAD SAN DIEGO, CA 92121 | Â | Â | Â SVP, Chief Medical Officer | Â |

Signatures

/s/ James R. Oehler as attorney-in-fact for Dimitrios Chondros 05/07/2018

^{**}Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Includes 7,500 shares of restricted stock that vest in equal pro rata amounts on August 3, 2018 and August 3, 2019. Also includes 12,330 shares of restricted stock that vest in equal pro rata amounts on February 3, 2019 and February 3, 2020.
- (2) This option vested one-fourth on such date and vests 1/48th monthly thereafter.
- (3) This option vests one-fourth on such date and then 1/48th monthly thereafter.
- (4)

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This award vested one-fourth on the first anniversary of the grant date and then one-fourth on each anniversary date thereafter. Represents a restricted stock unit award with no exercise price.

- (5) This award vests one-fourth on the first anniversary of the grant date and then one-fourth on each anniversary date thereafter. Represents a restricted stock unit award with no exercise price.

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Remarks:

Exhibit List: Â Â Exhibit 24 - Power of Attorney

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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