CHASE PETER R Form 4

April 10, 2018

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

Check this box if no longer subject to

if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
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0.5

**OMB APPROVAL** 

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Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading CHASE PETER R Issuer Symbol CHASE CORP [CCF] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) \_X\_\_ Director X\_\_ 10% Owner X\_ Officer (give title \_ Other (specify 295 UNIVERSITY AVE. 04/06/2018 below) **Executive Chairman** (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting WESTWOOD, MA 02090 (City) (State) (Zip) 2. Transaction Date 2A. Deemed 3. 4. Securities 5. Amount of Execution Date, if Securities Ownership

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 7. Nature of Security (Month/Day/Year) TransactionAcquired (A) or Indirect (Instr. 3) Code Disposed of (D) Beneficially Form: Direct Beneficial (Month/Day/Year) (Instr. 3, 4 and 5) Owned (D) or Ownership (Instr. 8) Following Indirect (I) (Instr. 4) Reported (Instr. 4) (A) Transaction(s) (Instr. 3 and 4) Code V Amount (D) Price Chase Corporation 04/06/2018 G 4.500 \$0 9.693 D Α Common Stock Peter R. Chase Chase 2016

Chase
Corporation
Common
Stock

Chase
2016

Qualified
Annuity
Trust
#2-ML

236,260 <u>(1)</u> I

#### Edgar Filing: CHASE PETER R - Form 4

Chase Corporation Common Stock			Peter R. Chase Insurance Trust
Chase Corporation Common Stock	75,612 <u>(3)</u>	I	Peter R. Chase 2018 Qualified Annuity Trust #2-ML
Chase Corporation Common Stock	415,000 (4)	I	Peter R. Chase 2018 Qualified Annuity Trust-RJ

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transact Code (Instr. 8)	5. orNumber of Derivativ Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	<b>.</b>	ate	7. Titl Amou Under Secur (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
CHASE PETER R 295 UNIVERSITY AVE.	X	X	Executive Chairman			

Reporting Owners 2

WESTWOOD, MA 02090

### **Signatures**

Paula Myers by power of attorney

04/10/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares held by the Peter R. Chase Insurance Trust.
- (2) Reflects shares held by the Peter R. Chase 2016 Qualified Annuity Trust #2, a grantor retained annuity trust. ML
- (3) Represents shares held by the Peter R. Chase 2018 Qualified Annuity Trust #2, a grantor retained annuity trust. ML
- (4) Represents shares held by the Peter R. Chase 2018 Qualified Annuity Trust, a grantor retained annuity trust. RJ

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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