#### OLD SECOND BANCORP INC

Form 4 April 04, 2017

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number: 3235-0287

**OMB APPROVAL** 

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Expires: January 31, 2005
Estimated average

Form 4 or Form 5 obligations

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

burden hours per response... 0.5

5. Relationship of Reporting Person(s) to

may continue. See Instruction

30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \*

| CHEATHAM J DOUGLAS                               |  |               | Symbol OLD SECOND BANCORP INC [OSBC]                        |                 |                                      |                                | Issuer (Check all applicable)  |  |  |   |  |
|--|--|---------------|---|-----------------|--------------------------------------|--------------------------------|--|--|--|---|--|
| (Last) (First) (Middle)  37 S. RIVER ST.         |  |               | 3. Date of Earliest Transaction (Month/Day/Year) 03/31/2017 |                 |                                      |                                |  | X Director 10% Owner Specify below) below) CFO   |  |   |  |
| (Street)  AURORA, IL 60506                       |  |               | 4. If Amendment, Date Original Filed(Month/Day/Year)        |                 |                                      |                                | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting Person |  |  |   |  |
| (City)   | (State)                                | (Zip)         | Table   | e I - Non-l     | Derivative                           | Securi                         | ities Ac   | quired, Disposed   | of, or Beneficia   | lly Owned   |  |
| 1.Title of<br>Security<br>(Instr. 3)             | 2. Transaction Dat<br>(Month/Day/Year) | Execution any |   | Code (Instr. 8) | 4. SecurionAcquire Dispose (Instr. 3 | d (A) of d of (E, 4 and (A) or | <b>)</b> )   | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 3 and 4) | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |
| Old Second<br>Bancorp,<br>Inc<br>Common<br>Stock | 03/31/2017                             |               |   | A               | 4                                    | A                              | (2)  | 4,212 <u>(1)</u>   | I  | Profit<br>Sharing   |  |
| Old Second<br>Bancorp,<br>Inc<br>Common<br>Stock | 03/31/2017                             |               |   | A               | 35                                   | A                              | (2)  | 38,747 (3)   | I  | 401-K<br>Saving<br>Plan   |  |
| Old Second<br>Bancorp,                           |  |               |   |                 |                                      |                                |  | 51,547   | D  |   |  |

Inc.

Common

Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 4.<br>Transacti<br>Code<br>(Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |                     |                    | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) |                                     |
|---|---|---|---|---------------------------------------|---|---------------------|--------------------|---|-------------------------------------|
|   |   |   |   | Code V                                | (A) (D)   | Date<br>Exercisable | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of Shares |
| Employee<br>Stock Options                           | \$ 27.75  |   |   |                                       |   | 12/18/2008          | 12/18/2017         | Common<br>Stock   | 15,000                              |
| Employee<br>StockOptions                            | \$ 29.2   |   |   |                                       |   | 12/19/2007          | 12/19/2016         | common<br>stock   | 12,000                              |

## **Reporting Owners**

| Reporting Owner Name / Address                            | Relationships |           |         |       |  |  |  |
|---|---------------|-----------|---------|-------|--|--|--|
| r g   | Director      | 10% Owner | Officer | Other |  |  |  |
| CHEATHAM J DOUGLAS<br>37 S. RIVER ST.<br>AURORA, IL 60506 | X             |           | CFO     |       |  |  |  |
| Cianaturas  |               |           |         |       |  |  |  |

### **Signatures**

Person

/s/ J. Douglas
Cheatham

\*\*Signature of Reporting
Date

Reporting Owners 2

#### Edgar Filing: OLD SECOND BANCORP INC - Form 4

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Of this total, 4 shares were acquired during the first quarter 2017 pursuant to the Old Second Bancorp, Inc. Profit Sharing Plan & Trust. Information herein was provided by the Plan Trustee as of 3-31-17.
- (2) Does not apply.
- (3) Of this total, 35 shares were acquired during the first quarter 2017 pursuant to the Old Second's Employee Salary Savings Plan & Trust. Information herein was provided by the Plan Trustee as of 3-31-17.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.