Edgar Filing: ITERIS, INC. - Form 4

ITERIS, INC	2.										
Form 4											
August 13, 2	014										
FORM 4 UNITED STATES SECURITIES AND EXCHANCE COMMISSION									PPROVAL		
UNITED STATES SECURITIES AND EXCHANGE COMMISSION									OND	3235-0287	
Check the	is box		Was	shington,	D.C. 20	549			Number:		
	if no longer							Expires:	January 31, 2005		
subject to STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF								Estimated average			
Section 16. SECURITIES Form 4 or							burden hours per				
Form 5		urguant to	Section 1	6(a) of the	- Securit	ios Ex	chang	e Act of 1934,	response 0.		
obligation	ns Section 1'						U	1935 or Section	n		
may cont	inue.			vestment	•	· ·			11		
See Instru 1(b).	letion	00(11)			Compun	<i>,</i>	01 17				
(Print or Type F	Responses)										
		_ *									
	ddress of Reportin	g Person _		er Name and Ticker or Trading				5. Relationship of Reporting Person(s) to Issuer			
			Symbol								
			TTERIS.	, INC. [IT	1]			(Check all applicable)			
(Last)	(First)	(Middle)	3. Date of	Earliest Tr	ansaction						
1500 6 4 5 1				/Day/Year)				Director 10% Owner X Officer (give title Other (specify			
1700 CARNEGIE AVE, SUITE 100 08/11/20				2014				below) below)			
								Sr. Y	Vice President		
(Street)			4. If Ame	4. If Amendment, Date Original				6. Individual or Joint/Group Filing(Check			
Filed(Mon				lonth/Day/Year)				Applicable Line)			
								X Form filed by 0	One Reporting Pe Iore than One Re		
SANTA AN	IA, CA 92705							Person		porting	
(City)	(State)	(Zip)	Tabl	e I - Non-D	erivative S	Securi	ties Acq	uired, Disposed of	f, or Beneficial	ly Owned	
1.Title of	2. Transaction D	ate 2A. Dee	med	3.	4. Securit	ies Ac	auired	5. Amount of	6. Ownership	7. Nature of	
Security	(Month/Day/Yea	-					Securities	Form: Direct			
(Instr. 3)		Code (Instr. 3, 4 and 5)					•	(D) or	Beneficial		
		(Month/	Day/Year)	(Instr. 8)				Owned Following	Indirect (I) (Instr. 4)	Ownership (Instr. 4)	
								Reported	(111501. 4)	(111501. 4)	
						(A) or		Transaction(s)			
				Code V	Amount	(D)	Price	(Instr. 3 and 4)			
Common	08/11/2014			М				22 277	D		
Stock	08/11/2014			IVI	11,250	А	<u>(1)</u>	32,277	D		
Common					3,717		\$				
Stock	08/11/2014			F	$\frac{(2)}{(2)}$	D	1.68	28,560	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number prof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted stock units	<u>(1)</u>	08/11/2014		М	5,000	08/10/2014	08/10/2014	Common Stock	5,000
Restricted stock unit	<u>(1)</u>	08/11/2014		М	6,250	08/10/2014	08/10/2014	Common Stock	6,250

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Kreter Todd 1700 CARNEGIE AVE, SUITE 100 SANTA ANA, CA 92705			Sr. Vice President				

Signatures

/s/ Todd Kreter 08/13/2014

<u>**</u>Signature of Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Each restricted stock unit represents a contingent right to receive one share of ITI common stock. On August 10, 2014, two separate(1) restricted stock unit grants vested and the reporting person was issued 5,000 units of ITI common stock from one grant and 6,250 units of ITI common stock from the second grant on August 11, 2014.

(2) Represents shares withheld to cover tax liabilities

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.