Goldstein Mark Form 4 October 12, 2012

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

OMB 3235-0287 Number:

January 31, Expires: 2005

**OMB APPROVAL** 

Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

**SECURITIES** Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Last)

(Print or Type Responses)

1. Name and Address of Reporting Person \* Goldstein Mark

2. Issuer Name and Ticker or Trading Symbol

5. Relationship of Reporting Person(s) to Issuer

ACTUANT CORP [ATU]

(Check all applicable)

N86 W12500 WESTBROOK

3. Date of Earliest Transaction

(Month/Day/Year) 10/10/2012

Director 10% Owner \_X\_\_ Officer (give title \_ Other (specify below) below)

(Middle)

Chief Operating Officer

**CROSSING** (Street)

(First)

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check Applicable Line)

Filed(Month/Day/Year)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

#### MENOMONEE FALLS, WI 53051

(City)	(State)	(Zip) Ta	ble I - Non	-Derivativ	ve Sec	urities A	equired, Dispose	d of, or Bene	ficially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	(Instr. 3,	ispose 4 and (A) or	d of (D) 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Class A Common Stock	10/10/2012		Code V M	Amount 100	(D)	Price \$ 15.91	131,334	D	
Class A Common Stock	10/10/2012		S	100	D	\$ 28	131,234	D	
Class A Common Stock	10/11/2012		G <u>(1)</u> V	2,000	D	\$ 0	129,234	D	
Class A Common							5,500	I	By IRA

### Edgar Filing: Goldstein Mark - Form 4

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Reminder: Report on a separate line for each class of securities benef	icially owned directly or indirect	tly.		
Class A Common Stock	14,10	01 (3)	I	By Deferred Compensation Plan
Class A Common Stock	1,183		I	By ESPP
Class A Common Stock	6,190	(2)	I	By 401(k) Plan
Stock				

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of	2.	3. Transaction Date		4.	5. Number	6. Date Exercisable and		7. Title and Amount of	
Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transactic Code (Instr. 8)	Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration Date (Month/Day/Year)		Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy) (4)	\$ 15.91	10/10/2012		M	100	<u>(5)</u>	10/21/2013	Class A Common Stock	100

## **Reporting Owners**

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			

Goldstein Mark N86 W12500 WESTBROOK CROSSING MENOMONEE FALLS, WI 53051

Chief Operating Officer

8. I Der Sec (Ins

Reporting Owners 2

## **Signatures**

/s/ Eric Orsic, as Attorney-in-Fact

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Voluntary reporting of gift of 2,000 shares to the Mark and Jill Goldstein Family Fund.
  - Represents the best estimate of the number of share equivalents held by the reporting person in the unitized stock fund of the Actuant
- (2) 401(k) Plan. The unitized stock fund consists of stock of Actuant and cash and other short term investments. The number of Actuant share equivalents fluctuates depending on the ratio of the number of shares of stock of Actuant in the fund to other investments.
- (3) Best estimate of shares held pursuant to Actuant Corporation Deferred Compensation Plan.
- (4) Option granted under the Actuant Corporation 2002 Stock Option Plan.
- (5) Fifty percent of the option became exercisable on 10/21/2005 and the balance became exercisable on 10/21/2008.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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