**TABAK HAIM** Form 4 October 24, 2012

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box

if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

TABAK HAIM

(Last)

(City)

1. Title of

Security

(Instr. 3)

(Middle)

(Zip)

(First)

30/6 AVERBUCH

(Street)

2. Transaction Date 2A. Deemed

RAMAT HASHARON, L3 47400

(State)

(Month/Day/Year)

2. Issuer Name and Ticker or Trading

Symbol

Win Global Markets, Inc. [WGMI]

3. Date of Earliest Transaction

(Month/Day/Year) 10/23/2012

4. If Amendment, Date Original

Filed(Month/Day/Year)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5)

Beneficially Owned Following Reported

Transaction(s) (Instr. 3 and 4)

Issuer

below)

Person

5. Amount of

Securities

Director

Applicable Line)

X\_ Officer (give title

Code V Amount (D) Price

(A)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of 3. Transaction Date 3A. Deemed 4. 5. Number of 6. Date Exercisable and Derivative Conversion (Month/Day/Year) Execution Date, if Transaction Derivative Securities **Expiration Date** Security or Exercise Code Acquired (A) or (Month/Day/Year) any

7. Title and An

Underlying Sec

(Instr. 3 and 4)

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

**OMB** 

Number:

Expires:

response...

5. Relationship of Reporting Person(s) to

6. Individual or Joint/Group Filing(Check

6. Ownership

Form: Direct

(D) or Indirect Beneficial

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(I)

(Instr. 4)

(Check all applicable)

below) Chief Operating Officer

10% Owner

Other (specify

7. Nature of

Ownership

(Instr. 4)

Indirect

Estimated average

burden hours per

## Edgar Filing: TABAK HAIM - Form 4

(Instr. 3)	Price of Derivative Security		(Month/Day/Year)	(Instr. 8)	V	Disposed of (Instr. 3, 4, (A)		Date	Expiration	Title A
								Exercisable	Date	S S
Stock option (right to buy)	\$ 0.55	10/23/2012		D <u>(1)</u>			200,000	<u>(1)</u>	12/31/2014	Common , Stock
Stock option (right to buy)	\$ 0.55	10/23/2012		A(1)(5)		200,000		<u>(1)</u>	01/15/2014	Common Stock
Stock option (right to buy)	\$ 1.15	10/23/2012		D <u>(2)</u>			400,000	(2)	04/03/2016	Common Stock
Stock option (right to buy)	\$ 1.15	10/23/2012		A(2)(5)		400,000		(2)	01/15/2014	Common Stock
Stock option (right to buy)	\$ 0.0595	10/23/2012		D <u>(3)</u>			500,000	(3)	08/19/2014	Common Stock
Stock option (right to buy)	\$ 0.0595	10/23/2012		A(3)(5)		500,000		(3)	01/15/2014	Common Stock
Stock option (right to buy)	\$ 0.11	10/23/2012		D <u>(4)</u>			500,000	<u>(4)</u>	12/08/2015	Common Stock
Stock option (right to buy)	\$ 0.11	10/23/2012		A(4)(5)		500,000		<u>(4)</u>	01/15/2014	Common Stock

# **Reporting Owners**

Reporting Owner Name / Address	Relationships							
1	Director	10% Owner	Officer	Other				
TABAK HAIM 30/6 AVERBUCH RAMAT HASHARON, L3 47400			Chief Operating Officer					

Reporting Owners 2

## **Signatures**

/s/ Haim Tabak 10/24/2012

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The two reported transactions involved an amendment of an outstanding option, resulting in the deemed cancellation of the "old" option (1) and the grant of a replacement option. The option was originally granted on December 31, 2004 and is fully vested as of October 15, 2012
- (2) The two reported transactions involved an amendment of an outstanding option, resulting in the deemed cancellation of the "old" option and the grant of a replacement option. The option was originally granted on April 3, 2006 and is fully vested as of October 15, 2012.
- (3) The two reported transactions involved an amendment of an outstanding option, resulting in the deemed cancellation of the "old" option and the grant of a replacement option. The option was originally granted on August 20, 2009 and is fully vested as of October 15, 2012.
- The two reported transactions involved an amendment of an outstanding option, resulting in the deemed cancellation of the "old" option and the grant of a replacement option. The option was originally granted on December 9, 2010 and is fully vested as of October 15, 2012.
- (5) The options were granted pursuant to the Issuer's 2004 Global Share Option Plan, as amended, in consideration for services rendered by Mr. Tabak.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3