#### **KUTINSKY BRUCE**

Form 4 April 23, 2018

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

OMB APPROVAL

Number: 3235-0287

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0.5

burden hours per response...

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

| Name and Address of Reporting Person KUTINSKY BRUCE | 2. Issuer Name <b>and</b> Ticker or Trading Symbol | 5. Relationship of Reporting Person(s) to Issuer                      |
|---|--|---|
|   | AKORN INC [AKRX]                                   | (Check all applicable)  |
| (Last) (First) (Middle)                             | 3. Date of Earliest Transaction                    |   |
|   | (Month/Day/Year)                                   | Director 10% Owner  |
| 1925 WEST FIELD COURT SUI<br>300                    | TE 04/19/2018                                      | X_ Officer (give title Other (specification)  Chief Operating Officer |
| (Street)  | 4. If Amendment, Date Original                     | 6. Individual or Joint/Group Filing(Check                             |
|   | Filed(Month/Day/Year)                              | Applicable Line) _X_ Form filed by One Reporting Person               |
| LAKE FOREST, IL 60045                               |  | Form filed by More than One Reporting Person                          |

| (City)                               | (State) (                               | Zip) Table  | e I - Non-D                            | erivative S                               | ecurit           | ies Acq | quired, Disposed   | of, or Beneficial  | lly Owned   |
|--------------------------------------|---|---|--|---|------------------|---------|--|--|---|
| 1.Title of<br>Security<br>(Instr. 3) | 2. Transaction Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date, if<br>any<br>(Month/Day/Year) | 3.<br>Transactio<br>Code<br>(Instr. 8) | 4. Securit on(A) or Dis (D) (Instr. 3, 4) | sposed           | of      | 5. Amount of<br>Securities<br>Beneficially<br>Owned<br>Following | 6. Ownership<br>Form: Direct<br>(D) or<br>Indirect (I)<br>(Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|                                      |   |   | Code V                                 | Amount                                    | (A)<br>or<br>(D) | Price   | Reported Transaction(s) (Instr. 3 and 4)                         | (IIIsu: 4)   | (IIIsti. +)   |
| Common<br>Stock                      | 04/19/2018                              |   | A                                      | 80,201<br>(1)                             | A                | \$0     | 333,246 (2)  | D  |   |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

#### Edgar Filing: KUTINSKY BRUCE - Form 4

| 1. Title of | 2.          | 3. Transaction Date | 3A. Deemed         | 4.         | 5.         | 6. Date Exerc | cisable and | 7. Title a | and    | 8. Price of | 9. Nu  |
|-------------|-------------|---------------------|--------------------|------------|------------|---------------|-------------|------------|--------|-------------|--------|
| Derivative  | Conversion  | (Month/Day/Year)    | Execution Date, if | Transactio | onNumber   | Expiration D  | ate         | Amount     | of     | Derivative  | Deriv  |
| Security    | or Exercise |                     | any                | Code       | of         | (Month/Day/   | Year)       | Underlyi   | ing    | Security    | Secui  |
| (Instr. 3)  | Price of    |                     | (Month/Day/Year)   | (Instr. 8) | Derivative | e             |             | Securitie  | es     | (Instr. 5)  | Bene   |
|             | Derivative  |                     |                    |            | Securities |               |             | (Instr. 3  | and 4) |             | Own    |
|             | Security    |                     |                    |            | Acquired   |               |             |            |        |             | Follo  |
|             | •           |                     |                    |            | (A) or     |               |             |            |        |             | Repo   |
|             |             |                     |                    |            | Disposed   |               |             |            |        |             | Trans  |
|             |             |                     |                    |            | of (D)     |               |             |            |        |             | (Instr |
|             |             |                     |                    |            | (Instr. 3, |               |             |            |        |             |        |
|             |             |                     |                    |            | 4, and 5)  |               |             |            |        |             |        |
|             |             |                     |                    |            |            |               |             | Α.         |        |             |        |
|             |             |                     |                    |            |            |               |             |            | mount  |             |        |
|             |             |                     |                    |            |            | Date          | Expiration  | or         |        |             |        |
|             |             |                     |                    |            |            | Exercisable   | Date        |            | umber  |             |        |
|             |             |                     |                    |            |            |               |             | of         |        |             |        |
|             |             |                     |                    | Code V     | (A) (D)    |               |             | Sł         | hares  |             |        |

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# **Reporting Owners**

| Reporting Owner Name / Address  | Relationships |           |           |       |  |  |  |
|---------------------------------|---------------|-----------|-----------|-------|--|--|--|
| • 0                             | Director      | 10% Owner | Officer   | Other |  |  |  |
| KUTINSKY BRUCE                  |               |           | Chief     |       |  |  |  |
| 1925 WEST FIELD COURT SUITE 300 |               |           | Operating |       |  |  |  |
| LAKE FOREST, IL 60045           |               |           | Officer   |       |  |  |  |

# **Signatures**

/s/ Joseph Bonaccorsi, Attorney-in-Fact 04/23/2018

\*\*Signature of Reporting Person Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The securities acquired are Restricted Stock Units (RSUs) scheduled to vest in four equal installments on April 19, 2019, April 19, 2020, April 19, 2021 and April 19, 2022.
- The securities beneficially owned by the Reporting Person include 1,106 shares acquired effective December 31, 2017 through the company's Employee Stock Purchase Plan. Following the reported transaction, the amount of shares beneficially owned includes 135,404 unvested RSUs and 197,842 shares owned outright.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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