West Lawrence Form 4 March 04, 2019

## FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer

subject to Section 16. Form 4 or

Form 5 obligations

may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*

West Lawrence

(Last) (First) (Middle)

C/O GRAN TIERRA ENERGY INC., 900, 520 3 AVENUE SW

(Street)

CALGARY, A0 T2P 0R3

2. Issuer Name and Ticker or Trading

Symbol

GRAN TIERRA ENERGY INC. [GTE]

3. Date of Earliest Transaction

(Month/Day/Year) 03/01/2019

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

(I)

(Instr. 4)

5. Relationship of Reporting Person(s) to

(Check all applicable)

Vice President, Exploration

6. Ownership

Form: Direct

10% Owner

Other (specify

7. Nature of

Ownership

(9-02)

(Instr. 4)

Indirect

(D) or Indirect Beneficial

**OMB APPROVAL** 

3235-0287

January 31,

2005

0.5

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Number:

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burden hours per

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

below)

Director

X\_ Officer (give title

(City) (State)

1. Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if (Instr. 3)

(Zip)

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 8)

(Instr. 3, 4 and 5) (A)

Beneficially Owned Following Reported Transaction(s)

5. Amount of

Securities

or (Instr. 3 and 4) Code V Amount (D) Price

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

3. Transaction Date 3A. Deemed 1. Title of (Month/Day/Year) Execution Date, if Derivative Conversion

5. Number of TransactionDerivative

6. Date Exercisable and **Expiration Date** 

7. Title and Amount of **Underlying Securities** 

1

#### Edgar Filing: West Lawrence - Form 4

| Security (Instr. 3)         | or Exercise Price of Derivative Security |            | any<br>(Month/Day/Year) | Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) |         |     |                     | (Instr. 3 and 4)   |                 |                                  |
|-----------------------------|--|------------|-------------------------|---|---------|-----|---------------------|--------------------|-----------------|----------------------------------|
|                             |  |            |                         | Code  | V (A)   | (D) | Date<br>Exercisable | Expiration<br>Date | Title           | Amount or<br>Number of<br>Shares |
| Stock Option (Right to Buy) | \$ 2.32                                  | 03/01/2019 |                         | A   | 116,319 |     | <u>(1)</u>          | 03/01/2024         | Common<br>Stock | 116,319                          |

## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

West Lawrence

C/O GRAN TIERRA ENERGY INC. 900, 520 3 AVENUE SW

CALGARY, A0 T2P 0R3

Vice President, Exploration

#### **Signatures**

/s/ Diane Phillips,
Attorney-In Fact
03/04/2019

\*\*Signature of Reporting Person Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- One-third of the stock options will vest on March 1, 2020, an additional one-third of the stock options will vest on March 1, 2021 and the remaining one-third of the stock options will vest on March 1, 2022.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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