

Vuzix Corp  
Form 8-K  
June 15, 2018

UNITED STATES

SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**FORM 8-K**

CURRENT REPORT

PURSUANT TO SECTION 13 OR 15(D) OF THE  
SECURITIES EXCHANGE ACT OF 1934

Date of Report (Date of earliest event reported) – June 13, 2018

**VUZIX CORPORATION**

(Exact name of registrant as specified in its charter)

**Delaware**

(State or other jurisdiction of incorporation)

**001-35955**                      **04-3392453**  
(Commission File Number) (IRS Employer Identification No.)

**25 Hendrix Road, Suite A,**

**West Henrietta NY 14586**

(Address of principal executive offices)(Zipcode)

**(585) 359-5900**

(Registrant's Telephone Number, Including Area Code)

**Not Applicable**

(Former Name or Former Address, if Changed Since Last Report)

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions:

Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (§230.405 of this chapter) or Rule 12b-2 of the Securities Exchange Act of 1934 (§240.12b-2 of this chapter).

Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.



**Item 5.07. Submission of Matters to a Vote of Security Holders.**

On June 13, 2018, Vuzix Corporation (the “Company”) held its annual meeting of stockholders. At the annual meeting, (i) Paul J. Travers, Grant Russell, Edward Kay, Alexander Ruckdaeschel and Timothy Harned were each elected as directors of the Company to serve until the next annual meeting of stockholders or until their successors have been elected and qualified, (ii) stockholders ratified the board of directors’ appointment of Freed Maxick, CPAs, P.C. as the Company’s independent registered public accounting firm for 2018, and (iii) stockholders approved an amendment to the Company’s 2014 Equity Incentive Plan to increase the number of shares available for issuance thereunder to 20% of the outstanding shares of common stock. The final voting results on these matters were as follows:

1. Election of Directors:

| Name                   | Votes For | Votes Withheld | Broker<br>Non-Votes |
|------------------------|-----------|----------------|---------------------|
| Paul J. Travers        | 8,594,130 | 129,284        | 11,662,951          |
| Grant Russell          | 8,515,291 | 208,123        | 11,662,951          |
| Edward Kay             | 8,150,907 | 572,507        | 11,662,951          |
| Alexander Ruckdaeschel | 6,788,202 | 1,935,212      | 11,662,951          |
| Timothy Harned         | 8,600,188 | 123,226        | 11,662,951          |

2. Ratification of appointment of Freed Maxick, CPAs, P.C. as the Company’s independent registered public accounting firm for 2018:

| Votes For  | Votes Against | Votes Abstained |
|------------|---------------|-----------------|
| 19,896,674 | 243,391       | 246,300         |

3. Ratification of the amendment to the Vuzix Corporation 2014 Equity Incentive Plan to increase the amount of shares issuable.

| Votes For | Votes Against | Votes Abstained | Broker Non-Votes |
|-----------|---------------|-----------------|------------------|
| 5,999,121 | 2,635,502     | 101,680         | 11,650,062       |

**SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

Date: June 15, 2018 VUZIX CORPORATION

By: /s/ Grant Russell  
Grant Russell  
  
Chief Financial Officer