

TAURIGA SCIENCES, INC.  
Form 4  
July 09, 2014

**FORM 4**

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549**

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2015  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
Bacterial Robotics, LLC

2. Issuer Name and Ticker or Trading Symbol  
TAURIGA SCIENCES, INC.  
[TAUG]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)  
07/08/2014

\_\_\_\_ Director  
\_\_\_\_ Officer (give title below)  
 10% Owner  
\_\_\_\_ Other (specify below)

PO BOX 30085

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)  
 Form filed by One Reporting Person  
\_\_\_\_ Form filed by More than One Reporting Person

CINCINNATI, OH 45230

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-------------------------------------------------------------------|-----------------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------|
|                                 |                                      |                                                    | Code                           | V                                                                 | Amount                                                                                        | (A) or (D)                                               | Price                                                 |
| Common Stock                    |                                      |                                                    |                                |                                                                   | 3,501,000<br>(1)                                                                              | D                                                        |                                                       |
| Common Stock                    | 07/08/2014                           |                                                    | M                              |                                                                   | 75,000,000<br>(2)                                                                             | D                                                        | \$ 0.026                                              |
| Common Stock                    | 07/08/2014                           |                                                    | F                              |                                                                   | 59,414,991<br>(3)                                                                             | D                                                        | \$ 0.0328<br>(2)                                      |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control**

SEC 1474  
(9-02)

number.

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) |
|--------------------------------------------|--------------------------------------------------------|--------------------------------------|----------------------------------------------------|--------------------------------|-----------------------------------------------------------------------------------------|----------------------------------------------------------|-------------------------------------------------------------|
| Common Stock Warrants (Right to Buy)       | \$ 0.026                                               | 07/08/2014                           |                                                    | M                              | 75,000,000<br>(4)                                                                       | 10/29/2013 10/29/2018                                    | Common Stock 75,                                            |

## Reporting Owners

| Reporting Owner Name / Address                                  | Relationships |           |         |       |
|-----------------------------------------------------------------|---------------|-----------|---------|-------|
|                                                                 | Director      | 10% Owner | Officer | Other |
| Bacterial Robotics, LLC<br>PO BOX 30085<br>CINCINNATI, OH 45230 |               |           | X       |       |

## Signatures

/s/ Jason E. Barkeloo, Chief Executive Officer of Bacterial Robotics, LLC  
07/09/2014

\_\_Signature of Reporting Person Date

## Explanation of Responses:

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
  - \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares of common stock are owned directly by Jason E. Barkeloo ("Mr. Barkeloo"), a reporting person hereunder.
- The shares of common stock are owned directly by Bacterial Robotics, LLC ("Bacterial Robotics"). As managing member and Chief Executive Officer of Bacterial Robotics and by virtue of the Voting Agreement, dated January 28, 2014, by and among the Company and members of Pilus Energy (the "Voting Agreement"), as disclosed in the reporting persons' Schedule 13D filed with the Securities and Exchange Commission on May 2, 2014, Mr. Barkeloo may be deemed to beneficially own the shares of common stock held by Bacterial Robotics.
- (2) Shares delivered in connection with the cashless exercise of 75,000,000 warrants, previously granted pursuant to the Strategic Alliance Agreement, dated as of October 29, 2013, by and between the Tauriga Sciences, Inc. and Bacterial Robotics (the "Strategic Alliance Agreement"), at an exercise price of \$0.0260, as reported herein.
- (3) The warrant was issued pursuant to the Strategic Alliance Agreement and is owned directly by Bacterial Robotics. As managing member and Chief Executive Officer of Bacterial Robotics and by virtue of the Voting Agreement, Mr. Barkeloo may be deemed to beneficially own the securities held by Bacterial Robotics.
- (4)

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Edgar Filing: TAURIGA SCIENCES, INC. - Form 4

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.