Radu Michael P Form 4 March 05, 2018

# FORM 4

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \* Radu Michael P

2. Issuer Name and Ticker or Trading Symbol

3. Date of Earliest Transaction

5. Relationship of Reporting Person(s) to Issuer

**OMB APPROVAL** 

Estimated average

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3235-0287

January 31,

2005

0.5

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Number:

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response...

WELLCARE HEALTH PLANS,

(Check all applicable)

INC. [WCG]

(Last) (First) (Middle)

(Month/Day/Year) 03/01/2018

Director 10% Owner Other (specify X\_ Officer (give title below)

EVP, Clinical Ops & Bus Dev

C/O WELLCARE HEALTH PLANS, INC., 8735 HENDERSON

(Street)

ROAD

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

**TAMPA**, FL 33634

(City)	(State)	(Zip) <b>Tabl</b>	e I - Non-D	erivative	Secur	rities Acqu	ired, Disposed of	or Beneficiall	y Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securi n(A) or Di (Instr. 3,	(A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/01/2018		A(1)	4,814	A	\$0	9,317 (17)	D	
Common Stock	03/01/2018		F	1,202 (2)	D	\$ 193.51	8,115	D	
Common Stock	03/01/2018		M(3)	554	A	\$0	8,669	D	
Common Stock	03/01/2018		F	149 (4)	D	\$ 193.51	8,520	D	
	03/01/2018		M(5)	546	A	\$ 0	9,066	D	

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Common Stock							
Common Stock	03/01/2018	F	215 (6)	D	\$ 193.51	8,851	D
Common Stock	03/01/2018	M <u>(7)</u>	402	A	\$ 402	9,253	D
Common Stock	03/01/2018	F	159 (8)	D	\$ 193.51	9,094	D
Common Stock	03/01/2018	M(9)	2,356	A	\$ 0	11,450	D
Common Stock	03/01/2018	F	928 (10)	D	\$ 193.51	10,522	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	xecution Date, if Transaction Derivative Code Securities		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Pr Deri Secu (Inst
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Restricted Stock Units	(11)	03/01/2018		M	554	(12)	(12)	Common Stock	554	9
Restricted Stock Units	(11)	03/01/2018		M	546	(13)	(13)	Common Stock	546	9
Restricted Stock Units	(11)	03/01/2018		M	402	(14)	<u>(14)</u>	Common Stock	402	9
Market Stock Units	(15)	03/01/2018		M	2,356	(16)	<u>(16)</u>	Common Stock	0	(

## **Reporting Owners**

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer

Other

Radu Michael P C/O WELLCARE HEALTH PLANS, INC. 8735 HENDERSON ROAD TAMPA, FL 33634

EVP, Clinical Ops & Bus Dev

# **Signatures**

/s/ Michael Haber, Attorney-in-fact

03/05/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Settlement of performance stock units that were granted on August 31, 2015 and vested on March 1, 2018 based upon the achievement of performance goals for the three-year performance period ending December 31, 2017.
- (2) Forfeiture of shares to satisfy tax obligations related to performance stock units that were granted to the Reporting Person on August 31, 2015 and vested on March 1, 2018.
- (3) Vesting of restricted stock units granted to the Reporting Person on April 6, 2015.
- (4) Forfeiture of shares to satisfy tax obligations related to restricted stock units that were granted to the Reporting Person on August 31, 2015 and vested on March 1, 2018.
- (5) Vesting of restricted stock units granted to the Reporting Person on March 28, 2016.
- Forfeiture of shares to satisfy tax obligations related to restricted stock units that were granted to the Reporting Person on March 28, 2016 and vested on March 1, 2018.
- (7) Vesting of restricted stock units granted to the Reporting Person on March 22, 2017.
- (8) Forfeiture of shares to satisfy tax obligations related to restricted stock units that were granted to the Reporting Person on March 22, 2017 and vested on March 1, 2018.
- (9) Settlement of market stock units that were granted on August 31, 2015 and vested on March 1, 2018.
- (10) Forfeiture of shares to satisfy tax obligations related to market stock units that were granted to the Reporting Person on April 6, 2015 and vested on March 1, 2018.
- (11) Each restricted stock unit represents a contingent right to receive one share of WCG common stock.
- (12) The award of restricted stock units vests in approximately equal increments on March 1, 2016, March 1, 2017 and March 1, 2018. Vested shares will be delivered to the Reporting Person upon vest.
- (13) The award of restricted stock units vests in approximately equal increments on March 1, 2017, March 1, 2018 and March 1, 2019. Vested shares will be delivered to the Reporting Person upon vest.
- (14) The award of restricted stock units vests in approximately equal increments on March 1, 2018, March 1, 2019 and March 1, 2020. Vested shares will be delivered to the Reporting Person upon vest.
- (15) Each market stock unit represents a contingent right to receive up to two shares of WCG common stock based on the average closing price for the last thirty trading days in 2017 compared to the last thirty trading days in 2014.
- (16) The market stock units vested on March 1, 2018. Vested shares will be delivered to the Reporting Person upon vest.
- (17) The total reflects the forfeiture of an additional 13 shares for tax withholding on September 29, 2017, which was inadvertently omitted from a Form 4 filed on October 2, 2017.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Reporting Owners 3

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