SCHUTZ JEFFREY H

Form 4

February 02, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB

OMB APPROVAL

3235-0287 Number:

Expires:

January 31, 2005

0.5

Estimated average burden hours per

response...

Check this box if no longer subject to Section 16. Form 4 or

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

Stock

(Print or Type Responses)

1. Name and Address of Reporting Person * SCHUTZ JEFFREY H			suer Name and Ticker or Trading ol IC CORP [SONC]	5. Relationship of Reporting Person(s) to Issuer			
				(Check all applicable)			
(Last)	(First) (e of Earliest Transaction h/Day/Year)	X Director	109	% Owner	
300 JOHNNY BENCH DRIVE			/2018			ner (specify	
	(Street)	4. If A	mendment, Date Original	6. Individual or	Joint/Group Fili	ng(Check	
OKLAHOM	1A CITY, OK 73	`	Month/Day/Year)		y One Reporting P y More than One R		
		(7:)					
(City)	(State)	(Zip) T	able I - Non-Derivative Securities A	cquired, Disposed	of, or Beneficia	lly Owned	
1.Title of Security (Instr. 3)	2. Transaction Da (Month/Day/Year		Code Disposed of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock				7,600	I (1)	By family limited liability company	
Common				44,877	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of **SEC 1474** information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Edgar Filing: SCHUTZ JEFFREY H - Form 4

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

Do Se	Title of erivative curity str. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number proof Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
					Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
	estricted tock Units	\$ 0	01/31/2018		A	2,032	(2)	(2)	Common Stock	2,032
St	onqualified tock Option ight to buy)	\$ 25.84	01/31/2018		A	8,237	<u>(3)</u>	01/31/2025	common stock	8,237

Reporting Owners

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
SCHUTZ JEFFREY H 300 JOHNNY BENCH DRIVE OKLAHOMA CITY, OK 73104	X					

Signatures

Carolyn C. Cummins for Jeffrey H. Schutz

02/02/2018

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The reporting person owns 50% of the family limited liability company and disclaims beneficial ownership of the issuer's shares held by the family limited liability company except to the extent of his pecuniary interest.
- Restricted Stock Units shall vest on the earlier of (i) the first anniversary of the Grant Date or (ii) the date of the Sonic Corp. annual (2) shareholders' meeting in the following year, and will be issued in shares of Sonic Corp. common stock on a one-for-one basis, subject to certain restrictions.
- (3) Nonqualified Stock Options shall vest on the earlier of (i) the first anniversary of the Grant Date or (ii) the date of the Sonic Corp. annual shareholders' meeting in the following year.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2