Edgar Filing: ASTEC INDUSTRIES INC - Form 4

ASTEC INDUSTI Form 4	RIES INC										
July 13, 2017											
FORM 4									PPROVAL		
	UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549								OMB Number:	3235-0287	
Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. <i>See</i> Instruction 1(b).	STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940							burden hou response	Estimated average burden hours per response 0.5		
(Print or Type Respon	ises)										
1. Name and Address of Reporting Person <u>*</u> Colwell Chris E			2. Issuer Name and Ticker or Trading Symbol ASTEC INDUSTRIES INC [ASTE]					5. Relationship of Reporting Person(s) to Issuer			
(Last) (I	ddle)	3. Date of Earliest Transaction					(Check all applicable)				
(Last) (First) (Middle) 1725 SHEPHERD RD			 4. If Amendment, Date Original Filed(Month/Day/Year) 					Director 10% Owner Officer (give title below) Other (specify below) President-Carlson Paving			
(S	 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person 										
CHATTANOOG	A, TN 37421							Form filed by I Person	More than One Ro	eporting	
(City) (S	State) (Z	Zip)	Table	e I - Non-De	erivative S	ecurit	ties Ac	quired, Disposed o	of, or Beneficia	lly Owned	
	ransaction Date onth/Day/Year)	Executio any	n Date, if	3. Transactio Code (Instr. 8) Code V	Disposed (Instr. 3, 4	(A) or of (D) 4 and (A) or)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock								6,574 <u>(1)</u>	D		
Common Stock								1,079	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	5. Number of orDerivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	Expiration D (Month/Day	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities8 E E (Instr. 3 and 4)(1)(1)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares	
Phantom Stock	<u>(2)</u>	07/12/2017	(2)	А	75.2274 (2)	(2)	(2)	Common Stock	75.2274 (2)	

Reporting Owners

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Colwell Chris E 1725 SHEPHERD RD CHATTANOOGA, TN 37421			President-Carlson Paving				
Signatures							
Robert Taylor, attorney in fact Colwell	for Chris	E.	07/13/2017				
**Signature of Reporting Po	erson		Date				
Evelopetion of De							

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Holdings are Restricted Stock Units ("RSUs") that convert to common stock on a one-for-one basis at a later date.
- Reported transaction represents Astec's quarterly contribution to the reporting person's SERP account that was used to purchase additional(2) shares of Astec stock on the open market. Phantom shares are held in a SERP and are payable in cash following the reporting person's termination of employment from Astec.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.